CRIS NLP SERVICE

Library of production-ready applications

UPDATED 12/06/2020
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This document provides details of natural language processing (NLP) resources which have been developed since around 2009 for use at the South London and Maudsley NHS Foundation Trust (SLaM) NIHR Biomedical Research Centre and its mental healthcare data platform, CRIS.

We have set up the CRIS NLP Service to facilitate the extraction of anonymised information from the free text of the clinical record. Research using data from electronic health records (EHRs) is rapidly increasing and the most valuable information is sometimes only contained in the free text. This is particularly the case in mental healthcare, although not limited to that sector.

CRIS

The Clinical Record Interactive Search (CRIS) system was developed for use within SLaM’s NIHR Biomedical Research Centre. It provides authorised researchers with regulated, secure access to anonymised information extracted from SLaM’s EHR. SLaM provides mental healthcare to a defined geographic catchment of four south London boroughs (Croydon, Lambeth, Lewisham, Southwark) with around 1.3 million residents, in addition to a range of national specialist services.

Applications to access CRIS and the analyses carried out using CRIS are closely reviewed, monitored and audited by a CRIS Oversight Committee, which carries representation from SLaM’s Caldicott Guardian. The CRIS Oversight Committee is responsible for ensuring all research applications comply with ethical and legal guidelines. CRIS was developed with extensive involvement from service users and adheres to strict governance frameworks managed by service users. It has passed a robust ethics approval process acutely attentive to the use of patient data. The data is used in an entirely anonymised and data-secure format and all patients have the choice to opt-out of their anonymised data being used.

CRIS helps us to look at real life situations on a large scale. This means it’s easier to see patterns and trends, like what treatments work for some and don’t work for others. With this in mind, NLP development has focused particularly on enabling better characterisation of different interventions received (e.g. medications, psychotherapies), the reasons for these interventions (e.g. symptom profiles) and other factors that might affect outcomes (e.g. education, illicit drug use, smoking status).

For more information on CRIS, please have a look at the original or updated protocol papers and the description of its security model and governance framework. Please visit the CRIS website for further information and details of publications.

The CRIS NLP Service

We have developed NLP algorithms (referred to as ‘applications’ or ‘apps’ in this document for shorthand) using different approaches, some rules-based and some via machine learning. Other techniques are continually under consideration and evaluation by our own team and in collaboration with teams elsewhere. The General Architecture for Text Engineering (GATE) platform has been used extensively, reflecting a long-running and much-valued collaboration we have had with the University of Sheffield Computer Science Department who originally developed GATE in 1995. Our machine learning algorithms have been greatly facilitated by the TextHunter platform, developed by Richard Jackson, whilst a PhD student at SLaM and KCL, which has allowed annotation at scale for named entity recognition generation.

The purpose of this document is to provide a publicly-accessible and regularly updated resource, containing the details and performance of over 60 NLP applications that we view as ‘in production’ – i.e. with sufficient description and evaluation to be used across SLaM’s and potentially others’ EHR data. At any time, a considerable number more are under development and may be cited in publications arising from that development process. Details of these should be sought from authors or the CRIS team.
GENERAL POINTS

All applications currently in production at the CRIS NLP Service are described here. Our aim is to update this document at least twice yearly so please check you are using the version that pertains to the data extraction you are using.

Guidance for use

Every application report comprises four parts:

1) **Description** – the name of application and short explanation of what construct(s) the application seeks to capture.

2) **Definition** - an account of how the application was developed (e.g. machine-learning/rule-based, the terms searched for and guidelines for annotators), annotation classes produced and interrater reliability results (Cohen’s Kappa).

3) **Performance** – precision and recall are used to evaluate application performance in pre-annotated documents identified by the app as well as un-annotated documents retrieved by keyword searching the free text of the events and correspondence sections of CRIS.

   a) Precision is the ratio of the number of relevant (true positive) entities retrieved to the total number of entities (irrelevant -false positive- and relevant -true positive) retrieved.

   b) Recall is the ratio of the number of relevant (true positive) entities retrieved to the number of relevant (true positive and false negative) entities available in the database.

Performance testing is outlined in chronological order for either pre-annotated documents, un-annotated documents retrieved through specific keyword searches or both. **The latest performance testing on the list corresponds to results produced by the version of the application currently in use by the NLP Service.** Search terms used for recall testing are presented, where necessary. Similarly, details are provided for any post-processing rules that have been implemented. Notes relating to observations by annotators and performance testers are described, where applicable.

4) **Production** – information is provided on the version of the application currently in use by the NLP Service and the corresponding deployment schedule.
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1. **Aggression**

**Description**
Application to identify instances of aggressive behaviour in patients, including verbal, physical and sexual aggression.

**Definition**


*Classification of past or present symptom*: Both.

*Classes produced*: Positive, Negative and Unknown.

Positive mentions include reported to be quite aggressive towards..., violence and aggression, requires continued management and continues to reduce in terms of incidents etc. Also include verbal aggression and physical aggression.

Negative mentions include no aggression, no evidence of aggression etc.

Unknown mentions include unclear statements – aggression won’t be tolerated.

**Interrater reliability**

Cohen’s k = 85% (50 un-annotated documents - 25 events/25 attachments, search term ‘aggress*’)

**Search Terms (case insensitive)**

*aggress*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 50 (one document per patient). 20 documents were evaluated on top of the initial 30 to confirm that precision was low (&lt;80%).</td>
<td>P=73%</td>
<td></td>
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<tr>
<td>2</td>
<td>Application searches free text for instances of ‘aggress*’ only</td>
<td>All patients, random sample of 50 (one document per patient). 20 documents were evaluated on top of</td>
<td>P=76%</td>
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<td></td>
<td>3</td>
<td>As above</td>
<td>Random sample of 100 - 15 correspondence-attached text, 4 mental health care plan, 81 event clinical notes</td>
<td>P= 39%</td>
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<tr>
<td></td>
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<td></td>
<td></td>
<td>Random sample of 100 - 50 event-clinical note, 50 correspondence-attached text</td>
<td>P=78% R=76%</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>As above plus application excludes instances of negation (see notes)</td>
<td>Random sample of 100 - correspondence-attached text, events-clinical notes, risk event description, drug and alcohol history, nurse assessment notes, mental state formulation</td>
<td>P=90%</td>
<td>50 event- clinical note, 50 correspondence-attached text</td>
</tr>
</tbody>
</table>

### NOTES

**Round 3**

All false positives in the annotated documents were negations, examples being: ‘no/nil aggression’, ‘no violence or aggression’, ‘no sign of’, ‘did not display/present any’, ‘no arousal, aggression’, ‘no overt aggression’. Other false positives in the non-annotated documents were aggression from others and hearing aggressive voices. Unknowns were comments with a hypothetical ‘may’ or patients having aggressive ideation.

The reason for the higher precision in the non-annotated documents might be because of the documents used. Annotated documents only had 15 correspondence-attached texts while the non-annotated sample used 50. Only two of the false positives in the annotated documents were from correspondence-attached texts. Therefore, false positives (negations of aggression) may be less likely to be picked up in correspondence-attached texts.

The majority of true positives were present mentions of aggression (94.9%) rather than past mentions (eg ‘history of’; 5.1%).

**Round 4**

Most false positives were due to the negation ‘no’ eg. No violence/aggression or no presentation of violence. Other false positives included aggression that was unrelated to the patient (relative to another patient on the ward), or aggression being in a symptom list (without reference to this being present).

There were not enough false negatives to distinguish a pattern, some instances were: frequent aggressive episodes, risk of aggressive behaviour, was verbally abusive and aggressive.

**Code for post-processing**

Name like 'aggress%' and contextstring not like '%no aggress%' and contextstring not like '%nil aggres%'
and contextstring not like '%no violence and aggress%' 

**Production**

- Run schedule – monthly
- Version - 1
2. Agitation

**Description**

Application to identify instances of agitation.

**Definition**


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative, and Unknown.

Positive mentions include very agitated at present, he was agitated, he was initially calm but then became agitated and started staring and pointing at me towards. Should also include no longer agitated.

Negative mentions include did not seem distracted or agitated, not agitated, no evidence of agitation.

Unknown mentions include unclear statements – a common symptom of psychomotor agitation.

**Interrater reliability**

Cohen's k = 85% (50 un-annotated documents - 25 events/25 attachments, search term ‘agitat*’)

**Search Terms (case insensitive)**

*agitat*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 30 (one document per patient)</td>
<td>P=82%</td>
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<tr>
<td>2</td>
<td>Random sample of 100 - 4 ward progress notes, 11 event-POSProforma, 6 CAMHS event notes, 3 discharge summaries, 22 correspondence-</td>
<td>P=85%</td>
<td>Random sample of 100 - 50 event-clinical note, 50 correspondence-attached text</td>
<td>P=85% R=79%</td>
<td>agitat*</td>
</tr>
</tbody>
</table>
NOTES

False positives were mostly when the term ‘agitation’ was in a list or question with no response of whether the patient experienced it (currently or in the past). Some false positives were negations e.g. ‘no episode of...’ Psychomotor agitation was classed as unknown. The majority of true positive mentions were present experiences (85.9%) rather than past (14.1%).

Production
- Run schedule – monthly
- Version - 1
- Publications
3. ANERGIA

Description
Application to identify instances of anergia.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive and Negative.

Positive mentions of anergia include feelings of anergia.

Negative mentions of anergia include no anergia, no evidence of anergia, no feeling of anergia.

Interrater reliability
Cohen's k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ‘anergia*’)

Search Terms (case insensitive)

*anergia*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 30 (one document per patient)</td>
<td>P=93%</td>
<td></td>
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</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 4 ward progress notes, 2 presenting circumstances, 2 mental state formulation, 2 discharge notification summary, 12 CC correspondence-attached text, 33 correspondence-attached text</td>
<td>P=84%</td>
<td>Random sample of 100 - 51 events- clinical notes, 49 correspondence-attached text</td>
<td>P=95% R=89%</td>
<td>anergia</td>
</tr>
</tbody>
</table>
NOTES

All false positives occurred due to negations e.g. no loss of interest and anergia, nil anergia, describes no anergia, denies anergia. One unknown was identified as it was vague - unable to assess anergia. The majority of true positives were mentioning anergia as a present symptom (97.6%) rather than a past symptom (2.4%).

Production

- Run schedule – monthly
- Version - 1
4. ANHEDONIA

Description
Application to identify instances of anhedonia (inability to experience pleasure from activities usually found enjoyable).

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive mentions of anhedonia or anhedonic symptoms include X had been anhedonic, X has anhedonia.

Negative mentions of anhedonia or anhedonic symptoms include no anhedonia, no evidence of anhedonia, not anhedonic.

'Unknown' annotations included: i) used in a list, not applying to patient (e.g. typical symptoms include ...); ii) uncertain (might have anhedonia, ?anhedonia, possible anhedonia); iii) not clearly present (monitor for anhedonia, anhedonia has improved); iv) listed as potential treatment side-effect; v) vague ('she is not completely anhedonic', 'appears almost anhedonic')

Interrater reliability
Cohen's k=85% (50 un-annotated documents - 25 events/25 attachments, search term 'anhedon*')

Search Terms (case insensitive)
*anhedon*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
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<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 30 (one document per patient)</td>
<td>P=87%</td>
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<tr>
<td>2</td>
<td>Random sample of 100 - 4 ward progress notes, 1 presenting circumstances, 1 mental health care plan, 16 CCS</td>
<td>P=94%</td>
<td>Random sample of 100 - 50 events- clinical notes, 50 correspondence-attached text</td>
<td>P=93% R=86%</td>
<td>anhedon*</td>
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<td>---</td>
<td>---</td>
<td></td>
<td></td>
</tr>
<tr>
<td>events- clinical note</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTES**

The majority of false positives occurred when the negation ‘nil’ was used, sometimes when the term ‘denies’ was used also. Unknown was classified when mentioning ‘partial’ anhedonia due to a chronic illness. All positives were current symptoms rather than past tense (history of anhedonia).

**Production**

- Run schedule – monthly
- Version - 1
5. APATHY

Description

Application to extract the presence of apathy.

Definition

**Development approach:** Machine-learning.

**Classification of past or present symptom:** Both.

**Classes produced:** Positive, Negative and Unknown.

Positive mentions include any indication that apathy was being reported as a symptom: e.g. continues to demonstrate apathy; symptoms include apathy he is withdrawn, attributable to apathy; his apathy ... ; some degree of apathy noted; presentation with apathy; his report of apathy given patient’s level of apathy. Most apathy statements were found to be accompanied by ‘negative symptoms’ (i.e. rather than depressive). Should include implicit mentions of previous apathy, if evaluating on past or present.

Very few negative mentions found. Usual statements (denied apathy; no evidence of apathy etc.)

‘Unknown’ annotations include apathy mentioned as a hypothetical cause of something else (e.g. inactivity) rather than described as being present; apathy mentioned as a possibility in the future (e.g. may develop A apathy or as a possible side effect of medication (rather than actually present), or as an early warning sign. Also *apathy* found in quite a few names.

**Interrater reliability**

Cohen’s k=86% (50 un-annotated documents - 25 events/25 attachments, search term ‘apath*’)

**Search Terms (case insensitive)**

*apath*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 30 (one document per patient)</td>
<td>P=70%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Application searches free text for instances of ‘apathy’ or</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random</td>
<td>P=73%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>‘apathetic’ only (see notes)</td>
<td>sample of 30 (one document per patient)</td>
<td>3</td>
<td>As above</td>
<td>Random sample of 100 - 4 ward progress notes, 1 presenting circumstances, 1 mental health care plan, 16 CCS correspondence-attached text, 36 correspondence-attached text, 42 events - clinical note</td>
<td>P=94%</td>
</tr>
</tbody>
</table>

**NOTES**

False positives occurred when the negation ‘denies’ apathy came up. Unknowns were classified when the vague ‘maybe’ term was used or the symptom was present in a list without response on whether the symptom was present or not. Most true positives were current symptoms (99%) rather than past tense (history of apathy).

**Code for post-processing**

*Name* like ‘apathy’ or *name* like ‘apathetic’

**Production**

- Run schedule – monthly
- Version – 1
6. AROUSAL

Description
Application to identify instances of arousal excluding sexual arousal.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive mentions include physiological, emotional and autonomic hyperarousal such as “...the decisions she makes when emotionally aroused”, “...during hyperaroused state”, “following an incidence of physiological arousal”

Negative mentions include mentions of sexual arousal, no arousal, not aroused, denies being aroused, less aroused, less arousal, low arousal.

Unknown mentions: annotations include unclear statements and hypotheticals (“if aroused...”)

Interrater reliability
Cohen's k = 95% (50 un-annotated documents - 25 events/25 attachments, search term ‘*arous*’)

Search Terms (case insensitive)
*arous*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – CAMHS events</td>
<td>P=71%</td>
<td>Random sample of 100 - 50 events- clinical notes, 50 correspondence-attached text</td>
<td>P=89%</td>
<td><em>arous</em></td>
</tr>
</tbody>
</table>

NOTES
False positives mainly occurred when referencing sexual arousal or negation (did not arouse, no symptom of..., low arousal, unarousable). Other false positives related to arousal of someone other than the patient. Unknowns included hyper-arousal to specific stimuli e.g. due to PTSD diagnosis, hypothetical mention, arousal included in list (without direction if hypo/hyper arousal), arousal scores or description of arousal task administered without comment on the outcome.

Production
• Run schedule – monthly
• Version - 1
7. BAD DREAMS

Description
Application to identify instances of experiencing a bad dream.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include any mention of the patient having a nightmare or bad dream e.g. ‘ZZZZZ had a bad dream last night’, ‘she frequently has bad dreams’, ‘ZZZZZ has suffered from bad dreams in the past’, ‘ZZZZZ had a bad dream that she was underwater’, ‘he’s been having fewer bad dreams’

Negative annotations include instances where a bad dream has not occurred, metaphorical comparisons: ‘she denied any bad dreams’, ‘does not suffer from bad dreams’, ‘no other PTSD symptoms such as bad dreams’, ‘he said the experience was like a bad dream’, ‘ZZZZZ compared his time in hospital to a bad dream’, ‘said she wanted to wake up from this bad dream’

Unknown annotations include instances where it is not clear whether a bad dream has occurred: ‘she said it might have been a bad dream’, ‘he woke up in a start, as if waking from a bad dream’, ‘ZZZZZ couldn’t remember whether the conversation was just a bad dream’, ‘doesn’t want to have bad dreams’

Interrater reliability
Cohen’s k = 100% (100 unannotated documents - 50 events/50 attachments, search terms ‘dream’ and ‘dreams’)

Search Terms (case insensitive)
bad dream*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>Random sample of 100 – CAMHS event-comments</td>
<td>P=92%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td>P=89% R=100%</td>
<td>dream dreams</td>
</tr>
</tbody>
</table>

Production

• Run schedule – monthly
• Version - 1
8. BLUNTED AFFECT

Description
Application to identify instances of blunted affect.

Definition

Classification of past or present symptom: Both.
Classes produced: Positive and Negative.

Positive annotations include his affect remains very blunted, objectively flattened affect, states that ZZZZZ continues to appear flat in affect. Include affect somewhat flat.
Negative annotations include incongruent affect, stable affect, no blunted affect.
Unknown annotations include ‘typical symptoms include blunted affect’, ‘slightly flat affect’, ‘relative shows flat affect’.

Interrater reliability
Cohen’s k = 100% (50 annotated documents - 25 events/24 attachments/1 mental health care plan)

Search Terms (case insensitive)
*affect*
Blunt* [0 to 2 words in between] *affect* 
Flat [0 to 2 words in between] *affect* 
Restrict [0 to 2 words in between *affect*
*affect* [0 to 2 words in between] blunt
*affect* [0 to 2 words in between] flat
*Affect* [0 to 2 words in between] restrict

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=93%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 25 ward progress notes, 4 assessment-</td>
<td>P=98%</td>
<td>Random sample of 100 - 50 events- clinical notes, 50</td>
<td>P=100% R=80%</td>
<td>affect</td>
</tr>
<tr>
<td>mental state comments, 1 mental health care plan, 22 correspondence-attached text, 48 events-clinical note</td>
<td>correspondence-attached text</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td>---</td>
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</tr>
</tbody>
</table>

**NOTES**

The few false positives seen were irrelevant mentions of ‘flat’ in relation to the patients’ living situation (that is ‘affecting’ them). All true positives reflected current presentation rather than past (history of blunted affect) in the annotated documents.

**Production**

- Run schedule – monthly
- Version - 1
9. BRADYKINESIA (DEMENTIA)

Description
To identify instances of bradykinesia in the context of dementia.

Definition


Classification of past or present symptom: Both.

Classes produced: positive, negative and unknown.

Positive annotations include presence of bradykinesia, motor symptoms – moderate bradykinesia L>R.

Negative annotations include absence of bradykinesia, he was moving easily in bed and transferring independently with no bradykinesia or tremor.

Unknown annotations include bradykinesia is a symptom of dementia, difficult to assess if it has caused any bradykinesia, SHO to look out for bradykinesia.

Interrater reliability

Cohen’s k = 100% (50 un-annotated documents - 25 events/25 attachments, search term 'bradykine*')

Search Terms (Case insensitive)

bradykine

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – 1 ward progress note, 13 correspondence-attached text, 86 events- clinical note</td>
<td>P=89%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td>P=91% R=84%</td>
<td>bradykine*</td>
</tr>
</tbody>
</table>

Production

• Run schedule – monthly
• Version - 2
10. CIRCUMSTANTIALITY

Description
Application to identify instances of circumstantiality.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive mentions include signs of over inclusiveness and circumstantially, loose associations and circumstantiality, circumstantial in nature. Also include some circumstantiality at points and speech is less circumstantial.

Negative mentions include no signs of circumstantiality, no evidence of circumstantial.

Unknown mentions include circumstantial mentioned as a hypothetical cause of something else.

Interrater reliability
Cohen’s k = 100% (50 annotated documents - 25 events/25 attachments)

Search Terms (Case insensitive)
*circumstan*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient). 20 documents were evaluated on top of the initial 30 per evaluation to confirm that</td>
<td>P=38%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Application excludes instances of 'circumstance*' (see notes)</td>
<td>All patients, random sample of 30 (one document per patient). 20 documents were evaluated on top of the initial 30 per evaluation to confirm that precision was low (&lt;80%).</td>
<td>P=90%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>As above</td>
<td>Random sample of 100 - 9 ward progress notes-comments, 5 mental state formulation, 1 CCS correspondence-attached text, 28 correspondence-attached text, 57 events-comments</td>
<td>P=97%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td>P=94%</td>
</tr>
</tbody>
</table>

**NOTES**

False positives occurred with certain negations e.g. no circumstantiality, and with irrelevant mentions e.g. circumstantial evidence. All positive mentions were current instances of circumstantial speech. False negatives were mentions of circumstantial thought.

**Code for post-processing**

*Name* not like ‘circumstance%’
Production

- Run schedule – monthly
- Version - 1
11. CONCRETE THINKING

Description

Application to identify instances of concrete thinking.

Definition


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Positive annotations include text referring to ‘concrete thinking’, speech or answers to questions being ‘concrete’, the patient being described as ‘concrete’ without elaboration, answers being described as concrete in cognitive assessments, ‘understanding’ or ‘manner’ or ‘interpretations’ of circumstances being described as concrete. This included episodes in the past and both strong and weak (e.g. ‘tendency to concrete interpretations’) manifestations.

Negative annotations include ‘no evidence of concrete thinking’

Unknown annotations include references to concrete as a material (concrete floor, concrete house etc.), ‘no concrete plans’ referring to suicidal ideation, delusions being concrete, ‘no concrete symptomatology’, achieving ‘concrete goals’, using ‘concrete learning activities’.

Initially, we used the keyword ‘concrete*’ to pick up instances of concrete thinking. But when we manually completed the first round of annotations, performance was not satisfactory. After checking positive and negative annotations, some regular patterns were identified whereby the word ‘concrete’ was used within one or two words before or after the word ‘thinking’ which informed the final choice of search terms below.

*Interrater reliability*

Cohen’s k = 83% (50 un-annotated documents - 25 events/25 attachments, search term ‘concrete*’)

*Search Terms (Case insensitive)*

Concrete [word][word]think*
think [word] [word] concret*

*Performance*

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=87%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 146 - 57 correspondence-attachment text, 14 CAMHS event-comments, 38 events-comments, 36 care plan-outcome detail (mental health)</td>
<td>P=91%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td>P=84% R=41%</td>
<td>concrete</td>
</tr>
</tbody>
</table>

**NOTES**

False positives occurred when statements were irrelevant, such as concrete thinking of a relative, a rehabilitation plan or therapeutic task. The term ‘no evidence of’ was also ignored when relating to concrete thinking. Undetected comments include mentions of a patient being ‘rigid and concrete’, ‘socially concrete’, ‘rigid in way of answering questions, ‘concrete in thought’ and ‘concrete in vocabulary use’. Comments were annotated as unknown when they were hypothetical ‘may have concrete thinking’ or described as ‘sometimes’ concrete, without specifying whether they generally the patient generally is or not.

**Production**

- Run schedule – monthly
- Version - 1
12. DELUSIONS

Description
Application to identify instances of delusions.

Definition


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Positive mentions include paranoid delusions; continued to express delusional ideas of the nature etc. Also include no longer delusional- indicates past.

Negative mentions include no delusions, denied delusions.

Unknown mentions include delusions are common.

*Interrater reliability*

Cohen's k = 92% (50 un-annotated documents - 25 events/25 attachments, search term ‘delusion’)

*Search Terms (case insensitive)*

*delusion*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=87%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 22 ward progress notes, 1 discharge summary, 26 correspondence attached text, 49 event-clinical note</td>
<td>P=97%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence attached text</td>
<td>P=77% R=86%</td>
<td>delusion*</td>
</tr>
<tr>
<td>3</td>
<td>Application excludes instances of negation – ‘<em>no delusion</em>’, ‘<em>not expressed any delusion</em>’, ‘<em>didn’t express any delusion</em>’ (see notes)</td>
<td>Random sample of 100 – 26 ward progress note, 1 mental state formulation, 2 discharge notification summaries, 1 mental health care plan, 40 correspondence-attached text, 30 events-clinical note</td>
<td>P=90%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td>P=93% R=85%</td>
</tr>
</tbody>
</table>

**NOTES**

False positives occurred due to negations e.g. not seen to be, no evidence of, not expressed, no…or delusions, no overt delusional behaviour. Other false positives were unclear mentions e.g. when symptoms were in a list, possibly…, understanding if there is presence of… ignoring the ‘seem to be’ and ‘expressed’ mentions there was not enough consistency in false positives to decipher a pattern. There were only four false positives, two involving the word ‘expressed’. The other two were ‘appeared quite delusional’ and ‘delusional sexual themes have diminished’.

**Code for post-processing**

`contextstring` not like '%no delusion%' and `contextstring` not like '%not expressed any delusion%' and `contextstring` not like '%didn't express any delusion%'

**Production**

- Run schedule – monthly
- Version - 1
13. DERAILMENT

Description
Application to identify instances of derailment.

Definition


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Positive annotations include he derailed frequently, there was evidence of flight of ideas and thought derailment in his language etc. Include past mentions e.g. ‘speech no longer derailed’.

Negative annotations include the thought stream is normal as he uses sentences in consequences with no derailment, erratic compliance can further derail her stability etc. Also include no evidence of derailment, without derailment, without derailing, no derailment, no thought block, derailment, tangentiality noted, no evidence of loosening of association, derailment or tangential thoughts.

Unknown annotations include train was derailed.

*Interrater reliability*

Cohen’s k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ‘derail*’)

*Search Terms (case insensitive)*

*derail*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Application excludes derailing of trains, trams and efforts to achieve goals</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=74%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 As above</td>
<td>Random sample of 100 – 1 assessment note, 8 risk event descriptions,</td>
<td>P=73%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 corresponde</td>
<td>P=88%</td>
<td>derail*</td>
</tr>
<tr>
<td>3</td>
<td>As above</td>
<td>Random sample of 100 – 6 discharge notification summaries, 3 mental state comments, 1 nurse assessment notes, 26 correspondence-attached text, 29 events-clinical notes</td>
<td>P=87</td>
<td>P=84%</td>
<td>R=99%</td>
</tr>
</tbody>
</table>

**NOTES**

False positives mainly occurred due to negations e.g. ‘no evidence of’, ‘no sign of’ or simply ‘no derailment’. False positives also occurred when mentions were irrelevant e.g. derail treatment, derail a session, another individual derailing a session. Unknown was labelled for one unsure mention of a vague term use; ‘I wonder’. The majority of true positives was of derailment being a current symptom. Precision was high in non-annotated documents, as there were only 11 negatives. However, they were all flagged as positive. This is probably due to the app not computing negations. Regarding recall, positives were not flagged in mentions where derailment was at the beginning of a short sentence e.g. ‘Derailment.’.

**Production**

- Run schedule – monthly

45
Version - 1
14. DISTURBED SLEEP

Description

Application to identify instances of disturbed sleep.

Definition

Development approach: Rule-based.

The application identifies instances of disturbed sleep as follows: complains of poor sleep, poor sleep, sleep disturbed, sleep difficulty, sleeping poorly, not sleeping very well, cannot sleep, sleep pattern poor, difficulties with sleep, slept badly last couple of nights.

Interrater reliability

Cohen's k = 75% (50 un-annotated documents - 25 events/25 attachments, search term ‘*sleep*’ or ‘slept’)

Search Terms

- Not poor*
- interrupt*
- disturb*
- inadequat*
- disorder*
- prevent*
- stop*
- problem*
- difficult*
- reduc*
- less*
- impair*
- erratic*
- unable*
- worse*
- depriv*
- [0-2 token] sleep* or slep*
- little sleep
- sleepless night
- broken sleep
- sleep intermittently
- sleep* or slep*
- [0-2 token] not poor*
- interrupt*
- disturb*
- inadequat*
- disorder*
- prevent*
- stop*
Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – 2 mental state formulation, 1 CCs correspondence-body text, 3 discharge summaries, 1 mental health care plan, 1 presenting circumstances, 1 risk event, 2 brief summaries, 36 correspondence-attached text, 53 events</td>
<td>P=89%</td>
<td>Random sample of 100 - 50 events- clinical notes, 50 correspondence-attached text</td>
<td>P=88% R=68%</td>
<td><em>sleep</em> slept</td>
</tr>
</tbody>
</table>

NOTES

False positives included negation (denies, no...sleep disturbance, ...not disturbing sleep), sleeping tablets (extra dose to sleep, taking tables not to sleep but other intention), hypotheticals e.g. risk of poor sleep. No pattern observed in false negatives. Examples include sleep - reported as disturbed, reported sleeping only 4 hours a night, he didn’t sleep through the night, his sleep has deteriorated.

Production

- Run schedule – monthly
- Version - 2
15. DROWSINESS

Description

Application to identify instances of drowsiness.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive examples:
- ZZZZZ appeared to be drowsy.
- She has complained of feeling drowsy.
- Positive annotations should be anything implying that the patient is or has been drowsy or showing/reporting drowsiness. The timing doesn’t matter (i.e. past or present).

Negative examples:
- He is not drowsy in the mornings.
- She was quite happy and did not appear drowsy.
- ZZZZZ denied any symptoms of drowsiness.
- Negative annotations should be when the patient denies drowsiness, or is described as not drowsy etc.

Unknown examples:
- In reading the label (of the medication), ZZZZZ expressed concern in the indication that it might make him drowsy
- Monitor for increased drowsiness and inform for change in presentation.
- The ‘unknown’ category of annotations should be everything else. This would include hypothetical statements (e.g. risk of drowsiness, instructions to reduce medication if the patient becomes drowsy) or anything else insufficiently certain (this includes statements like ‘he is less drowsy now’ – although this implies that the patient was once drowsy, this isn’t really clear enough [although ‘he is more drowsy’ does imply drowsiness]). Also, there may be mentions about drowsiness in people other than the patient (e.g. relatives).

Interrater reliability

Cohen’s $k = 83\%$ 1000 un-annotated documents, search term ‘drows*’)

Search Terms

drows*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 documents</td>
<td>P=91%</td>
<td>Random sample of 100 documents</td>
<td>P=77%</td>
<td>drows*</td>
</tr>
</tbody>
</table>
|   | The application excludes ‘no drows*’
|   | ‘wasn’t drows*’, ‘no reported drows*’ | Random sample of 100 documents | P= 80%
|   |   | R=100% | drows* |

**Production**

- Run schedule – monthly
- Version - 1
### 16. ECHOLALIA

**Description**

Application to extract occurrences where echolalia is present.

**Definition**

**Development approach:** Machine-learning.

**Classification of past or present symptom:** Both.

**Classes produced:** Positive, Negative and Unknown.

Positive annotations include no neologisms, but repeated what I said almost like echolalia, intermittent echolalia. Also include some or less echolalia.

Negative annotations include no echolalia, no evidence of echolalia, cannot remember any echolalia or stereotyped utterances.

Unknown annotations include echolalia is not a common symptom. Also include hypotheticals such as he may have some echolalia, evidence of possible echolalia.

**Interrater reliability**

Cohen’s k = 88% (50 un-annotated documents - 25 events/25 attachments, search term ‘echola*’)

**Search Terms (case insensitive)**

*echola*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Application searches free text for instances of ‘echolali*’ (see notes)</td>
<td>All patients, random sample of 30 (one document per patient). 20 documents were evaluated on top of the initial 30 to confirm that precision was low (&lt;80%).</td>
<td>P=74%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 As above</td>
<td>Random sample of 100 –</td>
<td>P=96%</td>
<td>Random sample of</td>
<td>P=89%</td>
<td>echola*</td>
</tr>
<tr>
<td>18 ward progress note, 1 discharge notification summary, 38 correspondence attached text, 19 CCS correspondence attached text, 24 event clinical note</td>
<td>100 - 50 event clinical notes, 50 correspondence attached text</td>
<td>R=86%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTES**

False positives occurred with certain negations e.g. does not demonstrate/display. Unknowns were echolalic pathological laughter and when echolalia was questioned e.g. could be echolalia, echolalia? False negatives were a suggestion of echolalia, uses echoed speech, Echolalia (one-word statement), regularly echoed words. The majority of true positives in the annotated document was present tense, only 1% past echolalia.

**Code for post-processing**

*Name* like 'echolali%'  

**Production**

- Run schedule – monthly
- Version - 1
17. ELATION

Description
Application to identify instances of elation.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include mildly elated in mood, elated in mood on return from leave, she appeared elated and aroused etc.

Negative annotations include ZZZZZ was coherent and more optimistic/aspirational than elated throughout the conversation, no elated behaviour etc.

Unknown annotations include unclear statements such as in his elated state there is a risk of accidental harm, ‘monitor for elation’. Should also include statements listed as potential treatment side-effects ‘elation is a known side effect’ and statements were term is used in a list, not applying to patients (e.g. Typical symptoms include...).

Interrater reliability
Cohen’s k = 100% (50 annotated documents - 25 events/25 attachments)

Search Terms (Case insensitive)
*elat*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Application searches free text for instances of ‘elated*’ or ‘elation*’ (see notes)</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=90%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 As above</td>
<td>Random sample of 100 – 5 ward progress notes, 1 presenting</td>
<td>P=95%</td>
<td>Random sample of 100 - 50 events-clinical</td>
<td>P=94% R=97%</td>
<td>elat*</td>
</tr>
</tbody>
</table>
NOTES

False positives occurred for two negations: ‘nor elation’ and ‘not elated’. Unknowns were classed for mentions stating ‘monitor for elation’ and ‘if any evidence of elated mood’. False negatives: Mentions not flagged by app as positive: ‘was elated’, ‘get elated’, and ‘elated mood’. However, each of these only occurred once. The majority of true positive were current mentions of elation (98%) rather than past.

Code for post-processing

*name* like 'elated%' or 'elation%'

Production

- Run schedule – monthly
- Version - 1
18. **EMOTIONAL WITHDRAWAL**

**Description**
Application to identify instances of emotional withdrawal.

**Definition**


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Positive annotations apply to any description of the patient being described as withdrawn or showing withdrawal but with the following exceptions (which are annotated as unknown):

- Alcohol, substance, medication withdrawal
- Withdrawal symptoms, fits, seizures etc.
- Social withdrawal (i.e. a patient described as becoming withdrawn would be positive but a patient described as showing ‘social withdrawal’ would be unknown – because social withdrawal is covered in another application).
- Thought withdrawal (e.g. ‘no thought insertion, withdrawal or broadcast’)
- Withdrawing money, benefits being withdrawn etc.

Negative and unknown annotations are restricted to instances where the patient is being described as not withdrawn and categorised as unknown.

* Interrater reliability*
Cohen's $k = 100\%$ (50 un-annotated documents - 25 events/25 attachments, search term ‘withdrawn’)

*Search Terms (case insensitive)*
withdrawn

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – 2 CAMHS events- comments, 2 discharge notifications, 2 mental health care plans, 9</td>
<td>P=87%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 corresponde nce-</td>
<td>P=85%, R=96%</td>
<td>withdrawn</td>
</tr>
<tr>
<td>ward progress notes, 24 correspondence attached text, 61 correspondence attached text</td>
<td>attached text</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTES**

False positives were related to irrelevant mentions e.g. Police statement withdrawn, money withdrawn, specific named drug withdrawn, appointment withdrawn, contact withdrawn. However, this did not occur many times.

**Production**

- Run schedule – monthly
- Version - 1
19. FLIGHT OF IDEAS

Description
Application to extract instances of flight of ideas.

Definition

Classification of past or present symptom: Both.
Classes produced: Positive, Negative and Unknown.

Positive annotations include Mrs ZZZZZ was very elated with by marked flights of ideas; marked pressure of speech associated with flights of ideas. Also include ‘some flight of ideas’.

Negative annotations include no evidence of flight of ideas, no flight of ideas.

Unknown annotations include ‘bordering on flight of ideas’, or when used in a list not applying to the patient ‘typical symptoms include’, or irrelevant mentions ‘relative shows FOI’.

Interrater reliability
Cohen’s k = 96% (50 un-annotated documents - 25 events/25 attachments, search term ‘flight of’)

Search Terms (case insensitive)
*flight* *of* *idea*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 50 (one document per patient). 20 documents were evaluated on top of the initial 30 to confirm that precision was low (&lt;80%).</td>
<td>P=72%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – 9 ward</td>
<td>P=89%</td>
<td>Random sample of 100 - 50</td>
<td>P=91%, R=94%</td>
<td>flight of</td>
</tr>
</tbody>
</table>
NOTES

False positives occurred when negations were mentioned e.g. no obvious flight of ideas, no flight of ideas, no evidence of ... or flight of ideas. Unknowns occurred when the symptom was mentioned in a list without reference to it being present and when it was being questioned. The majority of true positives were present tense mentions (95% in annotated documents). There were only three instances where the app did not flag a mention as positive (high recall).

Production

- Run schedule – monthly
- Version - 1
20. FORMAL THOUGHT DISORDER

Description
Application to extract occurrences where formal thought disorder is present.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include deteriorating into a more thought disordered state with outbursts of aggression; there was always a degree thought disorder. Also include some formal thought disorder.

Negative annotations include thoughts: no FTD, no signs of FTD, NFTD.

Unknown annotations include ‘?FTD’, ‘relative shows FTD’, ‘check if FTD has improved’, used in a list, not applying to patient ‘typical symptoms include...’.

Interrater reliability
Cohen’s k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ‘flight of’)

Search Terms (case insensitive)
*ftd*
*formal* *thought* *disorder*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 50 (one document per patient). 20 documents were evaluated on top of the initial 30 to confirm that precision was low (&lt;80%)</td>
<td>P=72%</td>
<td></td>
<td></td>
<td>formal thought disorder ftd</td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – 3 CCS correspondence-attached text, 3 discharge</td>
<td>P=56%</td>
<td>Random sample of 100 - 50 events- clinical notes, 50</td>
<td>P=57%, R=36%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>notification summaries, 1 mental state formulation, 1 presenting circumstances, 10 ward progress notes, 38 events-clinical notes, 44 correspondence-attached text</td>
<td>correspondence-attached text</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Random sample of 100 – 7 ward progress notes, 3 discharge notification summaries, 4 CCS correspondence-attached text, 1 CAMHS event-clinical note, 56 correspondence-attached text, 29 event-clinical note</td>
<td>P=82%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td>P=57% R=61%</td>
<td>formal thought disorder ftd</td>
</tr>
<tr>
<td>4</td>
<td>Application excludes instances of ‘NFTD’</td>
<td>Random sample of 100 – 9 CCS correspondence-attached text, 3 body text, 1 discharge notification summary, 1 mental state formulation, 50 correspondence-attached text, 36 events-clinical note</td>
<td>P=85%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td>P=83% R=83%</td>
</tr>
</tbody>
</table>
False positives include negations - did not display, not displaying, not expressed, no evidence of, without showing, uncertainty - unable to elicit, possible..., not possible to assess. Also, no sign of paranoia or formal thought disorder, without showing clear formal thought disorder.

**Code for post-processing**

*name* not like ‘NFTD’

**Production**

- Run schedule – monthly
- Version - 1
21. GRANDIOSITY

Description
Application to extract occurrences where grandiosity is apparent.

Definition

Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include ZZZZZ was wearing slippers and was animated elated and grandiose, few grandiose statements regarding having been 'brought up with royalty'. Also include reduction in grandiosity/no longer grandiose.

Negative annotations include no evidence of grandioso of delusions in the content of his speech, no evidence of grandiose ideas.

Unknown annotations include his experience could lead to grandiose ideas.

Interrater reliability
Cohen's k = 89% (50 un-annotated documents - 25 events/25 attachments, search term 'grandio*')

Search Terms (case insensitive)
*grandios*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=97%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – 2 ward progress notes, 2 presenting circumstances, 1 mental state formation, 49 correspondence</td>
<td>P=89%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence</td>
<td>P=95%, R=91</td>
<td>grandios*</td>
</tr>
</tbody>
</table>
NOTES

The majority of false positives occurred due to negations, e.g. ‘no grandiose delusions’, ‘denied...’, ‘nil...’, ‘no evidence of...’. One unknown mention was due to unsure term ‘some possible’. False negatives occurred when the word grandiose was the first word of the sentence e.g. ‘Grandiose, feels...’ and ‘Grandiose beliefs still expressed’. Perhaps this is to do with the capitalisation of G or simply the order of the terms in the sentence.

Production

- Run schedule – monthly
- Version - 1
22. GUILT

Description

Application to identify instances of guilt.

Definition


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Positive annotations include she then feels guilty/angry towards mum; being angry is easier to deal with than feeling guilty. Also include feelings of guilt with a reasonable cause and mentions stating ‘no longer feels guilty’.

Negative annotations include no feeling of guilt, denies feeling hopeless or guilty.

Unknown annotations include ‘he might be feeling guilty’, ‘some guilt’ or ‘sometimes feeling guilty’, or when used in a list, not applying to patient ‘typical symptoms include ....’.

*Interrater reliability*

Cohen’s k = 92% (50 un-annotated documents - 25 events/25 attachments, search term ‘guil*’)

*Search Terms (case insensitive)*

*guil*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* and F33* in a structured field, random sample of 90 (one document per patient).</td>
<td>P=73%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Application searches free text for instances of ‘guilt*’ (see notes)</td>
<td>All patients with primary diagnosis code F32* and F33* in a structured field, random</td>
<td>93%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

64
3 | As above | Random sample of 100 – 1 mental health formulation, 16 ward progress notes, 25 correspondence-attached text, 58 events-clinical note | P=81% | Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text | P=78%, R=95% | guilt* |

4 | As above | Random sample of 100 – 3 ward progress notes, 1 mental health care plan, 2 CCS correspondence-attached text, 28 correspondence-attached text, 2 CAMHS events-clinical notes, 36 events-clinical notes | P=84% | Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text | P=83% R=83% | guilt* |

**NOTES**

Most of the false positives were due to criminal charges e.g. Plead/pleaded guilty, guilty of charges. Others were guilt presented in the same list form sentence ‘anxiety, thoughts of suicide, guilt, hope, self-esteem’ or negation, specifically ‘denies guilt’. The only pattern seen for false negatives was using the word ‘feels’ or ‘feel’ guilty.

**Code for post-processing**

name like ‘guilt%’

**Production**

- Run schedule – monthly
- Version - 1
23. HALLUCINATIONS (ALL)

Description
Application to identify instances of hallucinations.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include: her husband was minimising her hallucinations, continues to experience auditory hallucinations, doesn’t appear distressed by his hallucinations, he reported auditory and visual hallucinations, this will likely worsen her hallucinations, his hallucinations subsided, neuroleptics were prescribed for her hallucinations, it is unclear if hallucinations occur within the context of a delirium, has delirium been ruled out as a cause for the hallucinations?, he used to experience hallucinations but not anymore, when she relapses she presents with experience of hallucinations, difficult to assess if hallucinations have gone, visual hallucinations ++

Negative annotations include: denied any hallucinations, no evidence of auditory hallucinations, he reports it is a dream rather than hallucinations, hears voices but denies command hallucinations, did not report any further auditory hallucinations, hallucinations have not recurred, no longer appeared to have hallucinations, has not had hallucinations for the last 4 months, the hallucinations stopped, auditory hallucinations -

Unknown annotations include: probably/possibly/maybe/likely/unclear/unable to ascertain/unconfirmed reports of hallucinations/ experiencing hallucinations, pseudo(-) hallucinations, hallucinations present? hallucinations, hallucinations?, this is not a psychiatric symptom such as hallucinations, perceptions: abnormalities including hallucinations, derealisation etc., rating scale including delusions, hallucinations, clinical domains e.g. hallucinations, hallucinations is a sign of relapse, it is unusual for hallucinations to present in this way, CBT is effective for hallucinations.

Interrater reliability
Pending

Search Terms (case- insensitive)
hallucinat*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – 39</td>
<td>P=75%</td>
<td>Random sample of 100 – 50</td>
<td>P=88%</td>
<td>hallucinat*</td>
</tr>
<tr>
<td>Correspondence</td>
<td>events – comments, 50 correspondence – attached text</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>----------------</td>
<td>------------------------------------------------------</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Application excludes “<em>no reported halluc</em>”, “<em>no evidence of halluc</em>”, “<em>no halluc</em>”, ‘denied halluc*’, “<em>possibly halluc</em>” (see notes)</td>
<td>Random sample of 100 – 7 ward progress notes, 1 mental state formulations, 2 risk events, 41 correspondence – attached texts, 2 mental health care plans, 2 CCS correspondence – attached text, 1 CCS correspondence – body text, 3 discharge notification summaries, 41 events–comments</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>P=90%</td>
<td>Random sample of 100 – 50 events – comments, 50 correspondence – attached text</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>P=84% R=98%</td>
<td>hallucinat*</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

NOTES

Round 1

Regarding false positives, most were due to unknown ‘possible’ and ‘possibly’ instances being labelled as a positive, as well as negations such as ‘no evidence of’, ‘no reported’ and ‘no clear indication’. Regarding false negatives, instances were incorrectly labelled as positive when there was a negation shortly before the hallucination mention.
Round 2

Regarding false positives, the few instances that occurred were a few mentions of ‘nil’, ‘did not experience’ and ‘denying’. Regarding false negatives, there were not enough mentions to decipher a pattern.

Code for post-processing

contextstring not like '%no reported halluc%' and contextstring not like '%no evidence of halluc%' and contextstring not like '%no halluc%' and contextstring not like '%denied halluc%' and contextstring not like '%possibly halluc%'

Production

- Run schedule – monthly
- Version - 2
24. HALLUCINATIONS - AUDITORY

Description

Application to identify instances of auditory hallucinations non-specific to diagnosis.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, negative and unknown.

Positive annotations include Seems to be having olfactory hallucination, in relation to her tactile hallucinations.

Negative annotations include denies auditory, visual, gustatory, olfactory and tactile hallucinations at the time of the assessment; denied tactile/olfactory hallucination.

Unknown annotations include possibly olfactory hallucinations, symptoms include....

Interrater reliability

Cohen's k = 96% (50 un-annotated documents - 25 events/25 attachments, search term 'auditory' or 'halluc*')

Search Terms (case insensitive)

auditory hallucinat*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – 36 attachments, 2 ccs correspondence, 2 mental health care plans, 6 discharge summaries, 47 events and 7 ward progress notes</td>
<td>P=92%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td>P= 80%, R=84%</td>
<td>auditory halluc*</td>
</tr>
</tbody>
</table>

NOTES
The majority of false positives occurred when ‘denied/denies’ was used to negate the term ‘auditory hallucinations’. The app correctly annotates the phrase ‘no auditory hallucinations’ as a negative mention. However, the phrase ‘no auditory/visual hallucinations’ is annotated as a positive mention.

Production

- Run schedule – monthly
- Version - 1
25. HALLUCINATIONS – OLFACTORY TACTILE GUSTATORY (OTG)

**Description**
Application to extract occurrences where auditory hallucination is present. Auditory hallucinations may be due to a diagnosis of psychosis/schizophrenia or may be due to other causes, e.g. due to substance abuse.

**Definition**


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, negative and unknown.

Positive annotations include seems to be having olfactory hallucinations, in relation to her tactile hallucinations.

Negative annotations include denies auditory, visual, gustatory, olfactory and tactile hallucinations at the time of the assessment, denied tactile/olfactory hallucinations.

Unknown annotations include possibly olfactory hallucinations, common symptoms include....

**Interrater reliability**
Cohen’s k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ‘olfact*’ or ‘gustat*’ or ‘tactile’)

**Search Terms (case insensitive)**

*olfactory* [0-10 words in between] *hallucin*

*hallucin* [0-10 words in between] *olfactory*

*gustat* [0-10 words in between] *hallucin*

*hallucin* [01-10 words in between] *gustat*

*tactile* [0-10 words in between] *hallucin*

*hallucin* [0-10 words in between] *tactile*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 50</td>
<td>P=86%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 –</td>
<td>P=86%</td>
<td>Random sample of</td>
<td>P=78%, R=68%</td>
<td>olfactory</td>
</tr>
</tbody>
</table>
NOTES

False positives were negations e.g. no visual/tactile hallucinations, denied any hallucinations, nil olfactory/gustatory hallucinations. ‘Denies’ seems to be a common false positive pattern. Unknown mentions were vague terms e.g. ‘I wonder’, ‘it is not clear’, or questioning whether the symptoms was present.

Production

- Run schedule – monthly
- Version - 1
26. HALLUCINATIONS - VISUAL

Description

Application to extract occurrences where visual hallucination is present. Visual hallucinations may be due to a diagnosis of psychosis/schizophrenia or may be due to other causes, e.g. due to substance abuse.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, negative and unknown.

Positive annotations include responding to visual hallucination, experiencing visual hallucination, history of visual hallucination, distressed by visual hallucination

Negative annotations include denied any visual hallucination, not responding to visual hallucination, no visual hallucination, no current visual hallucination (with no reference to past).

Unknown annotations include if/may/possible/possibly/might have visual hallucinations, monitor for possible visual hallucination.

Interrater reliability

Cohen's k = 100% (50 un-annotated documents - 25 events/25 attachments, search term 'visual' and 'halluc*')

Search Terms (case insensitive)

visual hallucinat*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – 8 ward progress notes, 1 mental state formulation, 1 mental state comment, 1 CAMHS event, 2 mental health care plans, 1 discharge notification</td>
<td>P=86%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td>P=77% R=64%</td>
<td>visual and halluc*</td>
</tr>
<tr>
<td>2</td>
<td>Application excludes instances of &quot;no visual&quot; and &quot;or visual&quot; (see notes)</td>
<td>Random sample of 100 - 4 mental state formulations, 10 ward progress notes, 3 mental health care plans, 2 CCS correspondence-attached text, 2 discharge notification summaries, 31 correspondence-attached text, 48 event-clinical note</td>
<td>P=83%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td>P=91% R=96%</td>
</tr>
</tbody>
</table>

**NOTES**

The main false positives occurred with the term ‘possible visual hallucinations’ or ‘possible previous visual hallucinations’. Others were vague terms such as ‘verging on…’, ‘not currently having…’ with no reference to having it previously. A few negations e.g. ‘denies’ and ‘nil’ were also falsely labelled positive.

**Code for post-processing**

contextstring not like '%no visual%' and contextstring not like '%or visual%'

**Production**

- Run schedule – monthly
- Version - 1
### 27. HELPLESSNESS

**Description**
Application to identify instances of helplessness.

**Definition**


*Classification of past or present symptom:* Both.

*Classes produced:* Positive and negative.

Positive annotations include ideas of helplessness secondary to her physical symptoms present, ideation compounded by anxiety and a sense of helplessness, hopelessness.

Negative annotations include denies uselessness or helplessness, no thoughts of hopelessness or helplessness. Include also when nothing stated or ‘felt helpless when’ statements.

Unknown annotations include is there a sense of helplessness, helplessness is a common symptom.

*Interrater reliability*

Cohen’s k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ‘helpless*)

*Search Terms (case insensitive)*

*helpless*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 30 (one document per patient).</td>
<td>P=90%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – 42 correspondence attached</td>
<td>P=92%</td>
<td>Random sample of 100 - 50 events-clinical</td>
<td>P=93% R=86%</td>
<td>helpless*</td>
</tr>
</tbody>
</table>
NOTES

Half of the false positives that did occur in the annotated documents were due to negations of ‘denies’, while the other half were unknowns e.g. Questioning whether this symptom was occurring.

Production

- Run schedule – monthly
- Version - 1
28. HOPELESSNESS

Description
Application to identify instances of hopelessness.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include feeling very low and hopeless, says feels hopeless.

Negative annotations include denies hopelessness, no thoughts of hopelessness or helplessness.

Unknown annotations include is there a sense of hopelessness, hopelessness is a common symptom.

Interrater reliability
Cohen's k = 90% (50 un-annotated documents - 25 events/25 attachments, search term 'hopeless*')

Search Terms (case insensitive)
*hopeles*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 30 (one document per patient).</td>
<td>P=87%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – 32 attachment text – attachment, 1 attachment text- CCS_correspon</td>
<td>P=88%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 corresponde nce-</td>
<td>P=90% R=95%</td>
<td>hopeless*</td>
</tr>
<tr>
<td>evidence, 61 comments - events, 1 assessment - summary_comments – mental state formulation, 4 mental state comments - mental state formulation, 1 comment – ward notes</td>
<td>attached text</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTES:**

The majority of false positives was the negation ‘denies’, with some unknowns being questions asking if the symptom is present.

**Production**

- Run schedule – monthly
- Version - 1
29. HOSTILITY

Description
Application to identify instances of hostility.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include increased hostility and paranoia, she presented as hostile to the nurses.

Negative annotations include not hostile, denied any feelings of hostility.

Unknown annotations include he may become hostile, hostility is something to look out for.

Interrater reliability
Cohen's k = 94% (50 un-annotated documents - 25 events/25 attachments, search term 'hostil**')

Search Terms (case insensitive)
*hostil*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents</th>
<th>Performance (annotated)</th>
<th>Un-annotated documents from keyword search in CRIS</th>
<th>Performance (un-annotated)</th>
<th>Keywords used for random extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=87%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – 1 ward progress note, 1 event-clinical note, 23 discharge notification summaries, 51 CAMHS event-clinical notes, 13 correspondence-attached text, 22 risk event descriptions</td>
<td>P=86%</td>
<td>Random sample of 100 - 50 events- clinical notes, 50 correspondence-attached text</td>
<td>P=89%, R=94%</td>
<td>hostil*</td>
</tr>
</tbody>
</table>
NOTES

The majority of false positives were negations e.g. Never hostile, not hostile, not in a hostile way, with some unknowns being hostility instances not relating to the patient e.g. Relative being hostile towards the patient.

Production

- Run schedule – monthly
- Version - 1
### 30. INSOMNIA

**Description**

Application to identify instances of insomnia.

**Definition**

**Development approach:** Machine-learning.

**Classification of past or present symptom:** Both.

**Classes produced -** Positive, Negative and Unknown.

Positive annotations include any insomnia described including initial insomnia, middle insomnia, any assumed application to the patient - 'the insomnia', complaining of insomnia, taking X for insomnia, contributes to her insomnia, problems with insomnia, this has resulted in insomnia, this will address his insomnia.

Negative annotations include no insomnia, no evidence of insomnia, not insomniac.

Unknown annotations include typical symptoms include insomnia, might have insomnia, ?insomnia, possible insomnia, monitor for insomnia, insomnia has improved.

**Interrater reliability**

Cohen's k = 94% (50 un-annotated documents - 25 events/25 attachments, search term 'insomn*')

**Search Terms (keywords are case insensitive)**

*insom*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 50 (one document per patient).</td>
<td>P=83%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Application excludes instances of 'winsome' (see notes)</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
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<tr>
<td>---</td>
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<td>---</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>As above</td>
<td>Random sample of 100 – 2 mental state formulations, 4 ward progress notes, 4 mental health care plans, 46 correspondence-attached text, 44 events-clinical notes</td>
<td>P=97%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td></td>
</tr>
</tbody>
</table>

### NOTES

False positives were some negations that weren’t picked up and unknown mentions e.g. no longer keen to join the insomnia group.

**Code for post-processing**

*Name* not like ‘winsome’

**Production**

- Run schedule – monthly
- Version - 1
31. IRRITABILITY

Description

Application to identify instances of irritability.

Definition


Classification of past or present symptom: Both.

Classes produced - Positive, Negative and Unknown.

Positive annotations include can be irritable, became irritable, appeared irritable, complained of feeling irritable.

Negative mentions include no evidence of irritability, no longer irritable, no sign of irritability.

Unknown annotations include irritable bowel syndrome, becomes irritable when unwell, can be irritable if …[NB some ambiguity with positive ‘can be’ mentions, although linked here with the ‘if’ qualifier], less irritable.

Interrater reliability

Cohen’s k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ‘irritabil*’ or ‘irritabl*’)

Search Terms (case insensitive)

*irritabl*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – 2 mental state formulations, 15 correspondence-attached text, 37 events-clinical notes, 46 ward progress notes</td>
<td>P=99%</td>
<td>P=100% R=83%</td>
<td>irritabil*</td>
<td>irritabil*</td>
</tr>
</tbody>
</table>

NOTES

The only false positive found in the annotated document was an irrelevant mention of irritable bowel syndrome. There was no clear pattern found for false negatives, but that was probably due to their low frequency.
Production

- Run schedule – monthly
- Version - 1
32. LOSS OF COHERENCE

Description
Application to identify instances of incoherence or loss of coherence in speech or thinking.

Definition


Classification of past or present symptom: Both.

Classes produced - Positive, Negative and Unknown.

Positive annotations include patient was incoherent, his speech is characterised by a loss of coherence.

Negative annotations include patient is coherent, coherence in his thinking.

Unknown annotations include coherent discharge plan, could not give me a coherent account, more coherent, mood was coherent with speech and a few instances where coherence/incoherence was part of a heading or question.

Interrater reliability
Cohen's k = 100% (50 un-annotated documents - 25 events/25 attachments, search term 'incoheren*')

Search Terms (case insensitive)
coheren*, incoheren*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents</th>
<th>Performance (annotated)</th>
<th>Un-annotated documents from keyword search in CRIS</th>
<th>Performance (un-annotated)</th>
<th>Keywords used for random extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 50 (one document per patient).</td>
<td>P=93%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – 16 events-comments, 36 events-comments, 54 correspondenc</td>
<td>P=85%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 corresponde</td>
<td>Not enough positive annotations</td>
<td>coheren*</td>
</tr>
<tr>
<td></td>
<td>e- attachment text, 52 care plan- outcome detail (47 mental health, 5 physical health)</td>
<td>nce- attached text</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Random sample of 100 – 16 events- comments, 36 events- comments, 54 correspondence e- attachment text, 52 care plan- outcome detail (47 mental health, 5 physical health)</td>
<td>P=85%</td>
<td>Random sample of 100 - 50 events- clinical notes, 50 correspondence nce- attached text</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Not enough positive annotations</td>
<td><em>coheren</em></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Random sample of 158– 16 events- comments, 36 events- comments, 54 correspondence e- attachment text, 52 care plan- outcome detail (47 mental health, 5 physical health)</td>
<td>P=85%</td>
<td>Random sample of 100 - 50 events- clinical notes, 50 correspondence nce- attached text</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>P=98%</td>
<td>R=95%</td>
<td>incoheren*</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTES**

False positives mainly occurred with coheren* search term; classifying speech/communication and thinking as coherent rather than not coherent.

False positives sometimes occurred when irrelevant comments were made, such as a relative being incoherent or when describing the need for a coherent treatment plan.

Undetected terms (and negative instances) suggest that the app may randomly interchange between ‘coheren*’ and ‘incoheren*’ as positive or negative.
Production

- Run schedule – monthly
- Version - 1
33. LOW ENERGY

Description
Application to identify instances of low energy.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include low energy, decreased energy, not much energy, no energy.

Negative annotations include no indications of low energy, increased energy.

Unclear annotations include typical symptoms include..., might be caused by low energy, monitor for low energy, energy levels have improved, fluoxetine reduces her energy, some energy, energy bars.

Interrater reliability

Cohen's k = 95% (50 un-annotated documents - 25 events/25 attachments, search term ‘energ*’)

Search Terms (case insensitive)

*energy*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with a primary diagnosis code F32* or F33* in a structured field, random sample of 50 (one document per patient). 20 documents were evaluated on top of the initial 30 to confirm that precision was low (&lt;80%).</td>
<td>P=76%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

P=76%
NOTES

There was no pattern with false positives. The majority related to high energy levels described in different ways e.g. increased energy, good energy levels, fair energy levels, no difficulties with her energy, more energetic. Other false positives were irrelevant mentions e.g. EDF energy, eating energy bars, and using energy on specific tasks. There were a few unknown mentions such as stating the term energy without reporting whether this was lacking or not. False negatives included fatigue impacts energy, decreased energy, not much energy, low energy, no energy.

Production

- Run schedule – monthly
- Version - 1
34. MINI-MENTAL STATE EXAMINATION (MMSE)

**Description**
This app identifies MMSE scores and returns information on:
- MMSE score (overall and subdivided into numerator and denominator)
- Associated date

**Definition**


Numerator should be a number from 0 to 30 and denominator should always be 30. Date is identified in the format of DD/MM/YYYY.

*Interrater reliability*

Cohen’s k = 90% (50 un-annotated documents - 25 events/25 attachments, search term ‘*MMSE*’)

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
</table>
| 1                                        | All patients, random sample of 100 (one document per patient) | Numerator P=97% 
Denominator P=98% 
Date P=68% - same day 
Date P=76% - one week 
Date P=81% - two weeks 
Date P=84% - one month |
|                                           |                                                  |                                |                                                          |                                    |                                      |
| 2                                        | Random sample of 100 - 2 mental formulation notes, 1 mental health care plan, 1 | Overall P=95% 
Numerator P=99% 
Denominator P=99% |
|                                           |                                                  | Random sample of 100 - 50 correspondence: attached text, 50 |
|                                           |                                                  |                                |                                                          | P=93% 
R=94% | *MMSE* |
NOTES

Overall, precision and recall were very good for each component. False positives were only seen when MMSE score had already been flagged in the document and it was raised twice, or another irrelevant score had been picked up. Occasionally, false positives occurred when the statement was questioning the MMSE score e.g. ‘/15, /20?’. Incorrect dates raised were often only a day off the correct date or occurred when there were multiple dates in the comments, and it was unclear what date defined what event.

Production

- Run schedule – weekly
- Version - 1
35. MOOD INSTABILITY

Description
This application identifies instances of mood instability.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include she continues to have frequent mood swings, expressed fluctuating mood.

Negative annotations include no mood fluctuation/no rapid cycling/no mood unpredictability, denied diurnal mood variations.

Unknown annotations include mood changes not specifically indicative of fluctuation like ‘she had harmed others in the past when her mood changed’, tried antidepressants in the past but they led to fluctuations in mood, no change in mood, her mood has not changed and she is still depressed.

Interrater reliability

Cohen's k = 91% (50 un-annotated documents - 25 events/25 attachments, search term ‘mood’)

Search Terms (case insensitive)

Change [0-2 words in between] *mood*
Changeable [0-2 words in between] *mood*
Changeable [0-2 words in between] *mood*
Changes [0-2 words in between] *mood*
Extremes [0-2 words in between] *mood*
fluctuate [0-2 words in between] *mood*
Fluctuates [0-2 words in between] *mood*
Fluctuating [0-2 words in between] *mood*
Fluctuation [0-2 words in between] *mood*
Fluctuations [0-2 words in between] *mood*
Instability [0-2 words in between] *mood*
*Labile* [0-2 words in between] mood
*Lability* [0-2 words in between] mood
Liability [0-2 words in between] mood
Liable [0-2 words in between] mood
Rapid cycling [0-2 words in between] mood
*swings* [0-2 words in between] mood
*unpredictable* [0-2 words in between] mood
Mood change

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample</td>
<td>P=72%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
of 50 (one document per patient). 20 documents were evaluated on top of the initial 30 to confirm that precision was low (<80%).

<table>
<thead>
<tr>
<th></th>
<th>Random sample of 100 – 17 ward progress notes, 2 mental health care plans, 38 correspondence-attached text, 43 events-clinical notes</th>
<th>P=91%</th>
<th>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</th>
<th>P=100% R=70%</th>
<th>mood</th>
</tr>
</thead>
</table>

**NOTES**

False positives found in the annotated documents were due to negations e.g. ‘not labile’, ‘no complaints of’ and hypothetical ‘if’ situations. Unknown mentions were when a justifiable mood change that was context specific with no mention of general mood instability or consistent mood changes. False negatives were when mood was described as ‘fluctuating rapidly’ and with ‘dips’ or violent ‘shifts’ in mood.

**Production**

- Run schedule – monthly
- Version - 1
36. MUTISM

Description

Application to identify instances of mutism.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include he has periods of 'mutism', he did not respond any further and remained mute.

Unknown annotations include her mother is mute, muted body language.

Interrater reliability

Cohen's \( k = 100\% \) (50 un-annotated documents - 25 events/25 attachments, search term ‘mut*’)

Search Terms (case insensitive)

*mute*

*mutism*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient).</td>
<td>P=93%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – 1 mental state formulation, 6 ward progress notes, 39 correspondence-attached text, 54 events-clinical notes</td>
<td>P=95%</td>
<td>Random sample of 100 - 50 events-clinical notes, 50 correspondence-attached text</td>
<td>P=91% R=75%</td>
<td>mut*</td>
</tr>
</tbody>
</table>
NOTES

Almost every false positive occurred when the staff surname ‘Mutemi’ was mentioned. One unknown mention was when a relative of the patient was described as mute. False negatives occurred with the simple term ‘mute’, no other pattern was seen.

Production

- Run schedule – monthly
- Version - 1
37. NEGATIVE SYMPTOMS

Description
Application to identify instances of negative symptoms.

Definition

Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include she was having negative symptoms, diagnosis of schizophrenia with prominent negative symptoms.

Negative annotations include no negative symptom, no evidence of negative symptoms.

Unknown annotations include are negative symptoms present?, negative symptoms can be debilitating.

Interrater reliability
Cohen's k = 85% (50 annotated documents - 25 events/25 attachments)

Search Terms (case insensitive)
*negative* *symptom*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient).</td>
<td>P=87%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – 58 attachments, 41 events</td>
<td>P=87%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=86% and P=95%</td>
<td>negative symptom*</td>
</tr>
</tbody>
</table>

NOTES

Precision and recall are high for both annotated and non-annotated documents. Most mentions of negative symptoms relate to present symptoms (92%). False positives were due to the app failing to identify negation e.g. ‘no negative symptoms’ or due to unknown mentions e.g. ‘possible negative symptoms’ being raised as positive mentions. All false negatives were incidences where ‘N’ was capitalised in ‘Negative symptoms’.

97
Production

- Run schedule – monthly
- Version - 1
38. NIGHTMARES

Description
Application to identify instances of nightmares.

Definition
Classification of past or present symptom: Both.
Classes produced: Positive, Negative and Unknown.

Positive annotations include she was having nightmares, unsettled sleep with vivid nightmares.
Negative annotations include no nightmares, no complains of having nightmares.
Unknown annotations include it’s been a nightmare to get this arranged, a nightmare scenario would be....

Interrater reliability
Cohen's k = 95% (50 un-annotated documents - events, search term 'nightmare*')

Search Terms (case insensitive)
nightmare*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – 25 correspondence-attached text, 11 CAMHS event-comments, 2 CCS correspondence-attached text, 3 discharge notification summaries, 1 mental state formulation, 3 presenting circumstances, 2</td>
<td>P=88%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=64% R=98%</td>
<td>nightmare*</td>
</tr>
<tr>
<td></td>
<td>ward progress notes, 53 events - comments</td>
<td>Random sample of 100 – 1 presenting circumstance, 6 ward progress notes, 2 mental state formulations, 3 CCS correspondence - attached text, 7 CAMHS events, 36 correspondence - attached text, 45 events - clinical notes</td>
<td>P=93%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=65%</td>
</tr>
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</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td>Random sample of 100 – 2 mental state formulations, 1 presenting circumstances, 6 ward progress notes, 39 correspondence - attached text, 9 CAMHS event - comments, 1 mental health care plan, 2 CCS correspondence - attached text, 2 discharge notification summary, 39 event - comments</td>
<td>P=89%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
</tr>
</tbody>
</table>

**NOTES**

Application excludes instances of ‘*nightmare’*, ‘*nightmare’’, ‘*no nightmare’’, ‘*nil nightmare’’, ‘*nightmare’’, ‘*nil nightmares’’, ‘*nightmare’*, ‘*no nightmare’’, ‘*Nightmare’’, ‘*nightmare’’, ‘*Nightmare’’ (see notes).
False positives remain whereby the individual is referring to ‘nightmare’ in a metaphorical sense. Other false positives are due to (more complex) negation problems e.g. no episodes of nightmares, she is not having nightmares, nightmares and flashbacks are denied, he does not have nightmares or flashbacks.

**Code for post-processing**

contextstring not like '%nightmare''% and contextstring not like '%nightmare'%' and contextstring not like '%no nightmare%' and contextstring not like '%nil nightmare%' and contextstring not like '%nightmare%' and contextstring not like '%''nightmare''% and contextstring not like '%'nightmare'%' and contextstring not like '%Nightmare''% and contextstring not like '%nightmare''% and contextstring not like '%Nightmare'%' 

**Production**

- Run schedule – monthly
- Version - 1
39. PARANOIA

Description
Application to identify instances of paranoia. Paranoia may be due to a diagnosis of paranoid schizophrenia or may be due to other causes, e.g. substance abuse.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include vague paranoid ideation, caused him to feel paranoid.

Negative annotations include denied any paranoia, no paranoid feelings.

Unknown annotations include relative is paranoid about me, paranoia can cause distress.

Interrater reliability

Cohen’s k = 92% (100 annotated documents - 25 events/69 attachments/1 mental state formulation/3 presenting circumstances/2 progress notes)

Search Terms (case insensitive)

*paranoi*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 50 (one document per patient).</td>
<td>P=82%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 69 correspondence, attached text, 2 ward progress notes, 3 presenting circumstances</td>
<td>P=89%</td>
<td>Random sample of 100 - 50 attachments, 50 events</td>
<td>P=86%, R=94%</td>
<td>paranoi*</td>
</tr>
</tbody>
</table>
NOTES

Overall precision for annotated documents was 89% but precision was notably higher in attachment documents (94%) than events (72%). This appears to be due to lack of negation terminology used in attachments (0 negations) compared to events (7 negated sentences). This may be because events are referring to the present symptomatology whilst attachments are summarising broader periods of time. As around 30% of app raises are of ‘Paranoid Schizophrenia’ diagnoses, this app should perhaps only be used for paranoia relating to schizophrenia, rather than for example, dementia or substance misuse. False positives almost exclusively occurred when the app failed to pick up a negation. All negative mentions were annotated as positive suggesting there is no rule for negation. 5/6 false negatives were in the format ‘Diagnosis: Paranoid schizophrenia’ so may relate to presence of the colon.

Production

- Run schedule – monthly
- Version - 1
38. PASSIVITY

Description

Application to identify instances of passivity.

Definition

**Development approach:** Machine-learning.

**Classification of past or present symptom:** Both.

**Classes produced:** Positive, Negative and Unknown.

Positive annotations include presence of passivity in the present admission, or if the symptom is absent currently but has existed in the past. For example, "patient describes experiencing passivity" or "patient has experienced passivity in the past but not on current admission".

Negative annotations include "denies passivity" or "no passivity".

Unknown annotations include passivity stated as not having been explored, if it is unsure whether symptom is in fact present or if the symptom was not fully delineated. For example: "passivity could not be discussed", "possible passivity requiring further exploration" or "unclear whether this is passivity or another symptom".

**Interrater reliability**

Cohen's k = 83% (438 unannotated documents – search term ‘passivity’)

**Search Terms (case insensitive)**

passivity

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Random sample of 100 – 44 attachment text-attachment, 3 body-ccs correspondence, 6 comments-CAMHS event, 42 comments-event, 2 comments-CAMHS event,</td>
<td>P=82%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=68% R=73%</td>
<td>passivity</td>
</tr>
<tr>
<td>Excludes form titled ‘Criminal Justice Mental Health Service Mental Health in Custody (MHiC)’</td>
<td>Random sample of 100 – 50 attachment text-attachment, 4 body-ccs correspondence, 42 comments-event, 1 current problem – presenting circumstances, 2 mental state comments – mental state formulation</td>
<td>P=88%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=89%</td>
<td>P=100%</td>
</tr>
</tbody>
</table>

**Production**

- Run schedule – on request
- Version - 1
39. PERSECUTORY IDEATION

Description

Application to identify instances of ideas of persecution.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include she was having delusions of persecution, she suffered persecutory delusions, marked persecutory delusions, paranoid persecutory ideations, persecutory ideas present.

Negative annotations include denies persecutory delusions, he denied any worries of persecution, no persecutory delusions, no delusions of persecution, did not report persecutory ideas, no persecutory ideation present etc

Unknown annotations include this might not be a persecutory belief, no longer experiencing persecutory delusions.

Interrater reliability

Cohen's k = 91% (50 un-annotated documents - 25 events/25 attachments, search term 'persecut*')

Search Terms (case insensitive)

[Pp]ersecu*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 - 3 ward progress notes, 8 CCS correspondence text, 71 correspondence text, 18 event-clinical notes</td>
<td>P=85%</td>
<td>Random sample of 100 - 50 attachments, 50 events</td>
<td>P=66% P=94%</td>
<td>persecut*</td>
</tr>
<tr>
<td>2 Application excludes</td>
<td>Random sample of 100</td>
<td>P=80%</td>
<td>Random sample of 100</td>
<td>P=80%</td>
<td>persecut*</td>
</tr>
<tr>
<td>instances of &quot;No persecutory ideation&quot;, &quot;No persecutory delusion&quot;, &quot;No paranoid/persecutory ideation&quot; (see notes)</td>
<td>- 1 presenting circumstance form, 1 POSProforma form, 9 ward progress notes/comments, 34 correspondence-attached text, 1 CAMHS event-comments, 1 discharge notification summary, 1 CAMHS event, 52 event-clinical note</td>
<td>– 50 attachments, 50 events</td>
<td>R=96%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTES**

Precision was consistent in both annotated and un-annotated documents. False positives were mainly due to the negation ‘denies’ and ‘denied’ but there were other negations raised e.g. ‘no evidence’, ‘nil’, ‘no clear’, and ‘no…/persecution’. Other false positives were relating to actual persecutions of the patient or patients’ family and unknown mentions e.g. possibly/likely/suggestive of persecutory delusion.

**Code for post-processing**

contextstring not like '%No persecutory ideation%' and contextstring not like '%No persecutory delusion%' and contextstring not like '%No paranoid/persecutory ideation%'

**Production**

- Run schedule – monthly
- Version - 1
40. POOR APPETITE

Description
Application to identify instances of poor appetite (negative annotations).

Definition
This app identifies negative mentions of good appetite.


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations applied to adjectives implying a good or normal appetite: fine; OK; reasonable; alright; preserved; satisfactory. Often described in combination with other symptoms (e.g. sleep and appetite normal; sleep and appetite: both preserved).

Negative annotations applied to adjectives implying a poor/declining appetite: loss of; reduced; decrease in; not so good; diminished; lack of; not great. Also, often in combination with other symptoms (poor sleep and appetite; loss of energy and appetite).

‘Unknown’ annotations include insufficiently informative adjectives: not changed; varies; increased; improving. Also, hypothetical mentions, as a potential side effect, as an early warning sign, as a description of a diagnosis (rather than patient experience), describing a relative rather than the patient, ‘appetite suppressants’.

**Good appetite and poor appetite** will encapsulate the following descriptive terms:

<table>
<thead>
<tr>
<th>Good or normal appetite (positive)</th>
<th>Poor or reduced appetite</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alright</td>
<td>Absent</td>
</tr>
<tr>
<td>Eats well</td>
<td>Decreasing</td>
</tr>
<tr>
<td>Eating well</td>
<td>Deficit</td>
</tr>
<tr>
<td>Excellent</td>
<td>Diminished</td>
</tr>
<tr>
<td>Fine</td>
<td>Gone down</td>
</tr>
<tr>
<td>Fair</td>
<td>Loss of</td>
</tr>
<tr>
<td>Good</td>
<td>Losing (also loosing)</td>
</tr>
<tr>
<td>Has appetite</td>
<td>Lost</td>
</tr>
<tr>
<td>Healthy</td>
<td>Low</td>
</tr>
<tr>
<td>Intact</td>
<td>Lacking</td>
</tr>
<tr>
<td>Not too bad</td>
<td>Lack of</td>
</tr>
<tr>
<td>No problem(s)</td>
<td>Lacks</td>
</tr>
<tr>
<td>No concern(s)</td>
<td>Less</td>
</tr>
<tr>
<td>Not a concern</td>
<td>Not great</td>
</tr>
<tr>
<td>No issue(s)</td>
<td>No</td>
</tr>
<tr>
<td>Normal</td>
<td>No interest</td>
</tr>
<tr>
<td>-------------</td>
<td>-------------</td>
</tr>
<tr>
<td>OK(ay)</td>
<td>Not as good</td>
</tr>
<tr>
<td>Preserved</td>
<td>Not very well</td>
</tr>
<tr>
<td>Reasonable</td>
<td>Poor</td>
</tr>
<tr>
<td>Regular</td>
<td>Reduced</td>
</tr>
<tr>
<td>Stable</td>
<td>Reduction</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Small(er)</td>
</tr>
<tr>
<td>Steady</td>
<td>Suppress(ed)</td>
</tr>
<tr>
<td>Unremarkable</td>
<td>Suppression</td>
</tr>
<tr>
<td>Unimpaired</td>
<td>Worse</td>
</tr>
<tr>
<td>Denies problems with</td>
<td>Worsening</td>
</tr>
</tbody>
</table>

**Interrater reliability**

Cohen's k = 91% (50 un-annotated documents - 25 events/25 attachments, search term ‘appetite’)

**Search Terms (case insensitive)**

*appetite* [0-3 words in between] *eating* *well*
*eating* *well* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *alright*
*alright* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *eats* *well*
*eats* *well* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *excellent*
*excellent* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *fine*
*fine* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *fair*
*fair* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *good*
*good* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *healthy*
*healthy* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *intact*
*intact* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *not* *too* *bad*
*not* *too* *bad* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *problem*
*problem* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *not**problem*
*no* *problem* [0-3 words in between] *appetite*
*appetite* [0-3 words in between] *not* *a* *concern*
*not* *a* *concern* [0-3 words in between] *appetite*

### Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 30 (one document per patient)</td>
<td>P=83%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Application excludes instances of ‘good’, ‘normal’, ‘fine’, ‘healthy’, ‘reasonable’, ‘ok’, ‘fair’, ‘alright’ (from the negative annotations – see notes)</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 30 (one document per patient)</td>
<td>P=97%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100-33 correspondenc</td>
<td>P=89%</td>
<td>Random sample of 100 – 50</td>
<td>P=83%</td>
<td>appetite</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>R=71%</td>
<td></td>
</tr>
</tbody>
</table>

110
| e-attached text, 1 mental health care plan, 1 discharge notification summary, 4 ward progress notes, 1 mental state formulation, 60 event-clinical note | attachments, 50 events | }

**NOTES**

**Code for post-processing**


**Production**

- Run schedule – monthly
- Version - 1
41. POOR CONCENTRATION

Description
Application to identify instances of poor concentration.

Definition
Classification of past or present symptom: Both.
Classes produced: Positive, Negative and Unknown.

Positive annotations include my concentration is still poor, she found it difficult to concentrate. Also include he finds it hard to concentrate.

Negative annotations include good attention and concentration, participating well and able to concentrate on activities Also include when concentrate is adequate or reasonable.

Unknown annotations include ‘gave her a concentration solution; talk concentrated on her difficulties; urine is concentrated. Include when unclear e.g. ‘he is able to distract himself by concentrating on telly’. Include when also states ‘improved concentration/able to concentrate better.’

Interrater reliability
Cohen's k = 95% (100 annotated documents – 45 attachments/3 CAMHS events/1 CCS correspondence/35 mental state formulation/1POSProforma/10 ward progress note)

Search Terms (case insensitive)
*concentrat*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* and F33* in a structured field, random sample of 50 (one document per patient). 20 documents were evaluated on top of the initial 30 to confirm that</td>
<td>P=76%</td>
<td></td>
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</table>
precision was low (<80%).

<p>| | | | |</p>
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</thead>
<tbody>
<tr>
<td>2</td>
<td>Random sample of 100 - 45 correspondence - attached text, 3 CAMHS events - clinical note, 1 CCS correspondence, 1 POSproforma note, 5 mental state formulation, 45 events-clinical notes</td>
<td>P=74%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
</tr>
</tbody>
</table>

<p>| | | | |</p>
<table>
<thead>
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<th></th>
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<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>Application excludes instances of concentrat*, ‘<em>concentration good</em>*’</td>
<td>P=88%</td>
<td>Random sample of 100 – 7 ward progress note, 1 mental state formulation, 3 CAMHS event-clinical note, 1 mental health care plan, 48 correspondence - attached text, 40 event-clinical note</td>
</tr>
</tbody>
</table>

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>concentrat*</td>
</tr>
</tbody>
</table>

NOTES
False negatives included struggled to concentrate, unable to concentrate, lacked concentration and concentration is impaired.

Code for post-processing
Name not like ‘%good concentrat%’ and name not like ‘%concentration good%’

Production
- Run schedule – monthly
- Version - 1
42. **POOR INSIGHT**

**Description**
Applications to identify instances of poor insight.

**Definition**


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

**Positive** annotation – An instance is classed as positive if the patient’s insight is minimal or absent. For example, records which contain a description of insight relating to the words below would be considered negative:

- Lacking/ Lack of
- Doesn’t have
- No/ None
- Poor
- Limited
- Insightless
- Absent
- Impaired
- Little
- Loss/ Lost

**Negative** annotation – An instance is classed as negative if the patient displays a moderate or high degree of insight into their illness. This includes records containing, for example, the following keywords pertaining to insight:

- Clear
- Had/ Has
- Improving
- Partial
- Some
- Good
- Insightful
- Present
- Aware
- Intact
- Reasonable

**Unknown annotation** – An instance is classed as unknown if:
- There is a lengthy and unclear description of the patient’s insight, without a final, specific verdict.
- Insight was not assessed.
- The word ‘insight’ is not used in a psychiatry context, rendering it irrelevant.
- The record does not refer to the patient’s current level of insight, perhaps mentioning predicted/ previous levels instead.
- It doesn’t contain the above keywords, despite the general conclusion that can be drawn from it, as this would decrease the overall accuracy of the app.
- Lack of insight not suggestive of psychotic illness, e.g. ‘lack of insight into how his drinking affects his son’ or ‘lack of insight into how she repeats the same cycles with romantic partners’

**Interrater reliability**

Cohen’s k = 88% (50 un-annotated documents - 25 events/25 attachments, search term ‘insight*’)

**Search Terms (case insensitive)**

insight

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents</th>
<th>Performance (annotated)</th>
<th>Un-annotated documents from keyword search in CRIS</th>
<th>Performance (un-annotated)</th>
<th>Keywords used for random extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=83%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 52 correspondence - attach text, 1 ccs correspondence, 1 discharge summary, 3 mental health care plan, 42 events and 1 mental health formulation</td>
<td>P=85%</td>
<td>Random sample of 100 - 50 attachments, 50 events</td>
<td>P=87% R=70%</td>
<td>insight*</td>
</tr>
</tbody>
</table>

**NOTES**
False positives often occurred when the term ‘insight’ was at the start of the sentence e.g. Insight: knows he has... or insight: has some understanding.... Unknown mentions were when insight was discussed or suggested a focus point for intervention without direct mention of the patient lacking in insight. There was no clear pattern for false negatives, the terms ‘limited’, ‘poor’, ‘lacking’ and ‘insightless’ were often classed as false negatives. However, there were not enough for a distinguished pattern to be made.

**Production**

- Run schedule – monthly
- Version - 1
43. POOR MOTIVATION

Description

This application aims to identify instances of poor motivation.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Examples of ‘positive’ annotations include ‘poor motivation’, ‘unable to motivate’ self, ‘difficult to motivate’ self, ‘struggling with motivation’. A sizeable number of statements include motivation in a list of deficiencies (e.g. ‘poor sleep, appetite, concentration and motivation’). Includes statements about poor motivation for particular activities (although a statement about a patient lacking the motivation to harm himself was categorised as ‘unknown’).

Negative annotations include any statements implying some motivation in the patient – e.g. includes specific statements that the patient has good general motivation, but also that they are described as motivated to participate in a group, participate in alcohol rehabilitation. Included positive-indicating trajectories (e.g. ‘more motivated’, ‘improving motivation’) but only when they described the patient experience (i.e. not describing interventions aiming to improve motivation).

Unknown annotations included some headings like ‘Motivation and Performance’, tasks/groups designed for motivation, comments about motivation but not clearly indicating whether this was high or low (e.g. variable motivation), plans to ascertain motivation levels, other use of the word (e.g. ‘racially motivated’), ‘motivating factors’.

Interrater reliability

Cohen’s k = 88% (50 un-annotated documents - 25 events/25 attachments, search term ‘motiv*’)

Search Terms (case insensitive)

lack [word][word] motivat*
Poor [word][word] motivat*
Struggl [word][word] motivate*
no [word][word] motivat*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one</td>
<td>P=87%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>document per patient</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>---</td>
<td>----------------------</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 50 CAMHS event comments, 50 correspondence - attach text, 50 care plan outcome detail (49 MH, 1 physical health)</td>
<td>50%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>50%</td>
<td>45%</td>
</tr>
<tr>
<td>3</td>
<td>Random sample of 100 - 50 CAMHS event comments, 50 correspondence - attach text, 50 care plan outcome detail (49 MH, 1 physical health)</td>
<td>50%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>50%</td>
<td>38%</td>
</tr>
</tbody>
</table>

**NOTES**

False positives often occurred when comments were hypothetical and did not reflect actual motivation level. False positives sometimes occurred when motivation related to relatives of the patient rather than the patient themselves. False positives also occurred occasionally when comment stated ‘more motivation’. Despite the rule that poor motivation of self-harm should be ‘unknown’, there were instances where this was still classified as positive. When including evidence of ‘present’ symptomatology undetected, precision drops from 95.3% to 89.3%.

**Production**

- Run schedule – monthly
- Version - 1
44. POVERTY OF SPEECH

Description
Application to identify poverty of speech.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include he continues to display negative symptoms including blunting of affect, poverty of speech, he does have negative symptoms in the form of poverty of speech. Also include ‘some poverty of speech’ and ‘less poverty of speech’.

Negative annotations include no poverty of speech, poverty of speech not observed.

Unknown annotations include poverty of speech is a common symptom of..., ?poverty of speech.

Interrater reliability
Cohen's k = 100% (50 annotated documents - 12 events/32 attachments/5 CCS_correspondence, 1 discharge notification summary)

Search Terms (case insensitive)

Poverty [0-2 words in between] *speech*
Impoverish [0-2 words in between] *speech*
*speech* [0-2 words in between] poverty
*speech* [0-2 words in between] impoverish

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=87%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Random sample of 100 patients with a diagnosis of schizophrenia - 56 attachment,</td>
<td>P=98%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

119
<table>
<thead>
<tr>
<th></th>
<th>5 ccs_correspondence, 29 events, 10 ward progress notes</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>Random sample of 100 – 35 correspondence, 2 body-ccs_correspondence, 1 brief summary-discharge notification summary, 52 comments-event, 1 mental state comment-mental state formulation, 1 comment, 8 comments-ward progress note</td>
<td>P=88%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=87% R=85%</td>
</tr>
</tbody>
</table>

**NOTES**

Precision is high despite the fact the app has no negative or unknown annotations. This is most likely as in most cases where ‘poverty of speech’ is documented, it is because the symptom is present.

**Production**

- Run schedule – monthly
- Version - 1
45. POVERTY OF THOUGHT

Description
Application to identify instances of poverty of thought.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive mentions include poverty of thought was very striking, evidence of poverty of thought etc. Also include ‘some poverty of thought’ and ‘less poverty of thought’.

Negative mentions include no poverty of thought, no evidence of poverty of thought.

Unknown mentions include poverty of thought needs to be assessed, ...poverty of thought among other symptoms.

Interrater reliability
Cohen’s k = 90% (50 annotated documents)

Search Terms (case insensitive)
*poverty* *of* *thought*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=83%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 31 attachment text, 2 css correspondence, 9 discharge summaries, 53 events, 5 ward progress notes</td>
<td>P=73%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=91%</td>
<td>poverty of thought</td>
</tr>
<tr>
<td></td>
<td><strong>Application excludes instances of &quot;<em>no poverty of thought</em>&quot; (see notes)</strong></td>
<td><strong>Random sample of 100 - 38 attachment text, 4 css correspondences, 2 discharge summaries, 1 mental health care plan, 39 events, 13 ward progress</strong></td>
<td>P=96%</td>
<td><strong>Random sample of 100 - 50 events-comments, 50</strong></td>
<td>P=95%, R=93%</td>
</tr>
<tr>
<td>---</td>
<td>--------------------------------------------------------------------------</td>
<td>---------------------------------------------------------------------------------</td>
<td>------</td>
<td>-----------------------------------------------------------------</td>
<td>------</td>
</tr>
<tr>
<td>3</td>
<td><strong>As above</strong></td>
<td><strong>Random sample of 100 patients with schizophrenia-43 attachment text, 4 css correspondences, 3 discharge summaries, 37 events, 12 ward progress notes, 1 mental state formulation ward progress</strong></td>
<td>P=98%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTES**

False positives occurred only with unknown annotations e.g. uncertain terms of possible, possibly, maybe and perhaps poverty of thought.

**Code for post-processing**

contextstring not like '%no poverty of thought%'

**Production**

- Run schedule – monthly
- Version - 1
46. SOCIAL WITHDRAWAL

Description
Application to identify instances of social withdrawal.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include she is withdrawn socially from friends and family, Mr ZZZZZ became very isolated and socially withdrawn, some social withdrawal

Negative annotations include not being socially withdrawn, no evidence of being socially withdrawn.

Unknown annotations include social withdrawal is common in depression, need to ask about social withdrawal.

Interrater reliability
Cohen's k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ’withdraw**’)

Search Terms (case insensitive)
Social [0-3 words in between] withdraw
Withdraw [0-3 words in between] social

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents</th>
<th>Performance (annotated)</th>
<th>Un-annotated documents from keyword search in CRIS</th>
<th>Performance (un-annotated)</th>
<th>Keywords used for random extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=90%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – 61 correspondence -attached text, 1 CAMHS event, 1 mental health care plan, 2 CCS correspondence , 1 discharge notification summary, 2 ward progress</td>
<td>P=98%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=60% R=86%</td>
<td>withdraw*</td>
</tr>
</tbody>
</table>
NOTES

Differences between positive only and random documents likely due to low number of positive raises found in random documents (6 true positives, 4 false negatives).

Production

- Run schedule – monthly
- Version - 1
47. STUPOR

**Description**
Application to identify instances of stupor. This includes depressive stupor, psychotic stupor, catatonic stupor, dissociative stupor and manic stupor.

**Definition**


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Positive annotations include ‘ZZZZ presented in a psychotic stupor’, ‘man with stuporous catatonia’, ‘he is in a depressive stupor’, ‘his presentation being a schizoaffective stupor’, ‘periods of being less responsive/stuporous’, ‘standing in a stupor’.

Negative annotations include statements which suggest psychiatric stupor is not indicated e.g. not in the state of stupor, presentation not suggestive of depressive stupor, reported not feeling stuporous.

Unknown annotations include annotations include unclear or hypothetical statements such as uncertain statements regarding the patients state such as: ?manic stupor, possible psychotic stupor however need to exclude medical cause and stupors induced by substance abuse such as: drink himself to stupor, drinking heavily and ending up stuporific, drinking to a stupor, drunken stupors.

*Interrater reliability*

Cohen’s $k = 96\%$ (50 un-annotated documents - 25 events/25 attachments, search term ‘aggress**’)

**Search Terms (case insensitive)**

Stupor*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 - 14 ward progress notes, 2 mental state formulations, 2 presenting circumstances, 2 discharge notification summaries, 1</td>
<td>P=88%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=88% R=87%</td>
<td>stupor*</td>
</tr>
</tbody>
</table>
NOTES

Most of the false positives were instances of a stupor due to alcohol. Some were stupor mentions due to medication and other times simple negation e.g. Not a depressive stupor. Unknown mentions were vague terms e.g. related to stupor, may be..., almost stuporous, borderline stupor. There was no direct pattern regarding the false negatives due to the low frequency of them. Most examples of the false negatives are: ‘developing depressive stupor’, ‘woke in a stupor’, ‘with ... and stupor’, ‘reaction (stupor)’, ‘becoming stuporous’, ‘short periods of stupor’.

Production

- Run schedule – on request
- Version - 1
48. SUICIDAL IDEATION

**Description**

Application to identify instances of suicidal ideation - thinking about, considering, or planning suicide.

**Definition**


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Examples of positive annotations:

1) Her main concerns were his low mood QQQQQ suicidal ideation
2) He has recently sent a letter to mom describing suicidal ideation.
3) QQQQQ then advised of suicidal ideation.

Examples of negative annotations:

1) There was no immediate risk in relation to self-harm or current suicidal ideation.
2) There has been no self-harm and no suicidal ideation disclosed to QQQQQ.
3) She denies having self-harming or suicidal ideation although sometimes would rather sleep and not get up in the morning.

Examples of unknown annotations:

1) Suicidal ideation is a common symptom in depression.
2) It wasn’t certain if she was experiencing suicidal ideation.

*Interrater reliability*

Cohen's k = 92% (50 un-annotated documents - 25 events/25 attachments, search term 'ideation')

*Search terms (case insensitive)*

*suicide* ideat*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=97%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – CAMHS events</td>
<td>P=87%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>-----------------------------------</td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=81% R=87%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTES**

False positives mainly occurred with negations e.g. ‘did not/has not expressed ideation’, ‘denies ideation’, ‘... was not an ideation’. Other negatives were irrelevant comments e.g. persecutory, psychotic or paranoid ideation. Unknowns were often uncertain statements where ideation was questioned or vague comments where it could not be deciphered. 83.9% of positives were present suicide ideation, 16.1% were past suicide ideation (stating no ideation currently or no comment on current ideation, only past).

**Production**

- Run schedule – on request
- Version - 1
49. TANGENTIALITY

**Description**
Application to identify instances of tangentiality.

**Definition**


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Positive annotations include he was very tangential lacked goal directed thinking, there was evidence of tangential speech.

Negative annotations include no evidence of formal thought disorder or tangentiality of thoughts. However, there was no overt tangentiality or loosening of associations.

Unknown annotations include there can be tangentiality, FTD is characterised by tangentiality, go off on a tangent.

*Interrater reliability*

Cohen’s k = 81% (50 un-annotated documents - 25 events/25 attachments, search term ‘tangent*’)

**Search Terms**

*tangent*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=97%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 5 ward progress notes, 2 mental state forms, 51 events-clinical notes, 1 CCS correspondence -attached text, 41</td>
<td>P=90%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=99%</td>
<td>tangent*</td>
</tr>
</tbody>
</table>
NOTES

False positives usually occurred with the negation ‘no evidence of’, as well as a few ‘no tangential’ mentions. One unknown mention was when the patient was talking about going off on a tangent. False negatives occurred with the term going off on tangents and tangential thoughts/in his thoughts.

Production

- Run schedule – monthly
- Version - 1
50. TEARFULNESS

Description
Application to identify instances of tearfulness.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include appeared tearful; was tearful (including was XX and tearful; was tearful and YY); became tearful; moments of tearfulness; a bit tearful.

Negative annotations include not tearful; no tearfulness; denies feeling tearful; no tearful episodes.

‘Unknown’ annotations were mostly ambiguous statements (e.g. less tearful; couldn’t remember being tearful) and statements applying to another person (e.g. mother was tearful) or a person who was not clearly enough the patient.

Interrater reliability
Cohen’s k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ‘tearful’*)

Search Terms (case insensitive)
*tearful*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=100%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 3 mental state formulations, 1 risk event, 22 correspondence -attached text, 33 ward progress notes, 41 events-clinical notes</td>
<td>P=94%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=100% R=94%</td>
<td>tearful*</td>
</tr>
</tbody>
</table>
NOTES

False positives usually occurred due to irrelevant mentions of relatives being tearful. Only three other false positives occurred, due to the negation ‘not tearful’. There were also very few false negatives, too few to see a pattern. False negatives were often being tearful, tearful at times, can be tearful, became tearful.

Production

- Run schedule – monthly
- Version - 1
51. THOUGHT BLOCK

Description

Application to identify instances of thought block.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include showed some thought block, thought block and paucity of thought.

Negative annotations include denies problems with thought block, no thought block elicited.

Unknown annotations thought block can be difficult to assess, ...among thought block and other symptoms.

Interrater reliability

Cohen's k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ‘thought block’*)

Search Terms

*thought* *block*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=93%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 7 ward progress notes, 3 mental state formulations, 2 discharge summaries, 33 correspondence -attached text, 55 events- clinical notes</td>
<td>P=92%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=91%</td>
<td>thought block*</td>
</tr>
</tbody>
</table>

NOTES
The majority of false positives were of the negation denied/denies, others being: no evidence of, no sign of, did not appear/appear to be thought blocked. Unknown mentions were when the symptom was questioned, or it was suggested as a possible symptom. Regarding false negatives, there was no pattern observed. Mentioned included: ...is thought blocked, presents as thought blocked, thought blocking at times, past experiences of thought block, is thought blocked.

**Production**

- Run schedule – monthly
- Version - 1
52. THOUGHT BROADCAST

Description
Application to identify instances of thought broadcasting.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include presence of thought broadcast in the present admission, or if the symptom is absent currently but has existed in the past. For example, "patient describes experiencing thought broadcasting" or "patient has experienced thought broadcasting in the past but not on current admission".

Negative annotations include "denies thought broadcasting" or "no thought broadcasting".

Unknown annotations include "denies thought broadcasting" or "no thought broadcasting".

Unannotated annotations include thought broadcast stated as not having been explored, if it is unsure whether symptom is in fact present or if the symptom was not fully delineated. For example: "thought broadcasting could not be discussed", "possible thought broadcasting requiring further exploration" or "unclear whether this is thought broadcasting or another symptom".

Interrater reliability
Cohen's k = 94% (95 unannotated documents – search term ‘thought broadcast*’)

Search Terms (case insensitive)
Though* [0-2 words] broadcast*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=84%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=86% R=92%</td>
<td>thought broadcast*</td>
<td></td>
</tr>
</tbody>
</table>

Production

- Run schedule – on request
- Version - 1
53. THOUGHT INSERTION

Description

Application to identify instances of thought insertion.

Definition


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Positive annotations include presence of thought insertion in the present admission, or if the symptom is absent currently but has existed in the past. For example, "patient describes experiencing thought insertion" or "patient has experienced thought insertion in the past but not on current admission".

Negative annotations include "denies thought insertion" or "no thought insertion".

Unknown annotations include thought insertion stated as not having been explored, if it is unsure whether symptom is in fact present or if the symptom was not fully delineated. For example: "t thought insertion could not be discussed", "possible thought insertion requiring further exploration" or "unclear whether this is thought insertion or another symptom".

*Interrater reliability*

Cohen's k = 97% (96 unannotated documents – search term ‘thought insert*)

*Search Terms (case insensitive)*

Though* [0-2 words] insert*

*Performance*

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Random sample of 100 –</td>
<td>P=84%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=81% R=96%</td>
<td>thought insert*</td>
</tr>
</tbody>
</table>

*Production*

- Run schedule – on request
- Version - 1
54. THOUGHT WITHDRAWAL

Description
Application to identify instances of thought withdrawal.

Definition

Classification of past or present: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include presence of thought withdrawal in the present admission, or if the symptom is absent currently but has existed in the past. For example, "patient describes experiencing thought withdrawal" or "patient has experienced thought withdrawal in the past but not on current admission".

Negative annotations include "denies thought withdrawal" or "no thought withdrawal".

Unknown annotations include thought withdrawal stated as not having been explored, if it is unsure whether symptom is in fact present or if the symptom was not fully delineated. For example: "thought withdrawal could not be discussed", "possible thought withdrawal requiring further exploration" or "unclear whether this is thought withdrawal or another symptom".

Inter-rater reliability
Cohen's k = 95% (76 unannotated documents – search term ‘thought withdraw*’)

Search Terms (case insensitive)
Though* [0-2 words] withdraw*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Random sample of 100 –</td>
<td>P=84%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=90% R=88%</td>
<td>thought withdraw*</td>
<td></td>
</tr>
</tbody>
</table>

Production
- Run schedule – on request
- Version - 1
55. TREATMENT-RESISTANT DEPRESSION

**Description**
Application to identify instances of treatment-resistant depression.

**Definition**


*Classification of past or present symptom*: Both.

*Classes produced*: Positive and Unknown.

Positive annotations include has X year history of treatment resistant depression, problems with low mood (resistant depression), diagnosis: treatment resistant depression, resistant endogenous depression, suffers from chronic treatment resistant depression, referred for management of treatment resistant recurrent depression.

Unknown annotations include ‘talked about ways in which they might resist allowing each other’s depression to …’, ‘has a diagnosis of treatment resistant schizophrenia and depression’, ‘we discussed him enrolling for a study of treatment resistant depression’, ‘we talked about medication for treatment resistant depression’, ‘resisted antidepressant therapy for a number of years’, ‘needs an assessment to rule out treatment resistant depression’, ‘assess whether depression was resistant to mirtazapine’, ‘accepts that ECT is a strategy for treatment resistant depression’.

**NB**. There are no negative annotations i.e. no statements to say that someone did not have treatment resistant depression. On the database examined, the unknown annotations above were all labelled as ‘negative’, so this may need to be borne in mind when cross-evaluating.

**Interrater reliability**

Cohen’s k = 85% (50 un-annotated documents - 25 events/25 attachments, search term ‘resistant depression’)

**Search Terms (case insensitive)**

depression [0-8 words in between] *resist*

*resist* [0-8 words in between] depression

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 50</td>
<td>P=90%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
(one document per patient)

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>Random sample of 100 - 26 events, 39 attachments, 2 mental health care plan, 21 CCS correspondence, 12 ward progress</td>
<td>P=68%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
</tr>
<tr>
<td>3</td>
<td>Application excludes instances of ‘<em>i.e. treatment-resist</em>’ (see notes)</td>
<td>Random sample of 100 - 31 events, 61 attachments, 2 mental health care plan, 3 CCS correspondence, 2 ward progress, 1 discharge notification</td>
<td>P=83%</td>
</tr>
</tbody>
</table>

NOTES

Precision is notably lower in the app output (annotated documents) (67%) compared to when the app is compared to 100 random documents (non-annotated documents) (92%). I suggest the reason for this being, the 100 ‘random’ documents are extracted using the term %resistant depression%. The app’s predefined search terms are: ‘Depression [0-8 words] resist*’ and ‘Resist* [0-8 words] depression’. When these terms are used in conjunction with the extraction term %resistant depression% it is unsurprising that the precision is greater than the app using these search terms alone. 92% is therefore likely very optimistic and 67% is a more representative figure of the app’s precision performance. 43% of the false positives raised by the app are due to this text string found at the bottom of the document: ‘Criteria Checklist · Presenting problem is a moderate to severe mental health problem needing step 4 intervention, i.e. Treatment-resistant, recurrent or atypical depression’. Un-annotated documents precision has decreased, this may be due to the change in keyword from ‘resistant depression’ to ‘*resistant depression’. However, the majority of new false positives are due to the following expression: “Any other Asian backgroundInsufficient InformationAffective Disorders Unit treatment resistant depressionAffective Disorders”. This expression is exclusively found in attachments. Prior to Post-processing rules added to application rules this expression was annotated as ‘negative’ but is now annotated as ‘positive’. This could be resolved by excluding attachment documents containing the phrase ‘%Unit treatment%’. Other false positives included family history mentions, references to clinical trials investigating TRD and treatment resistant paranoid schizophrenia.

Code for post-processing
Context string not like “*i.e. treatment-resis*”

**Production**

- Run schedule – monthly
- Version - 1
56. TREMOR (DEMENTIA)

Description
Application to identify instances of tremor in patients with dementia.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include evidence of presence of tremor as a symptom or sign e.g. “There was evidence of a tremor when writing...”, “…with a degree of resting tremor...”

Negative annotations include no evidence of tremor e.g. “There are no reports of any noticeable motor symptoms such as tremor...”, “No dystonic movement or tremor”.

Unknown annotations include “ZZZZ will be reviewed with regards to side effects and if there is no tremor then can have another 75mg of Paliperidone”, “there is a family history of tremor”.

Interrater reliability
Cohen’s k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ‘tremor*’)

Search Terms (case insensitive)
*Tremor*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=83%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 7 ward progress notes, 3 mental state formulations, 2 discharge summaries, 33 correspondence attached text,</td>
<td>P=67%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=63% R=96%</td>
<td>tremor*</td>
</tr>
</tbody>
</table>

141
<table>
<thead>
<tr>
<th></th>
<th>55 events- clinical notes</th>
<th></th>
<th></th>
<th>tremor*</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>Random sample of 100 patients with dementia diagnosis - 11 ward progress notes, 2 mental state formulation, 47 attachments-attached text, 38 events-clinical notes, 1 css correspondence, 1 mental health care plan</td>
<td>P=88%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=83%, R=92%</td>
</tr>
</tbody>
</table>

**NOTES**

False positives mainly occurred when tremors were distinctively not related to dementia. The main unrelated mention relating to anxiety, while others included medication, recreational drug or alcohol withdrawal or side effect. Negations were also labelled as positive, e.g. No tremors, no obvious tremor, denied getting tremors. False positives in the dementia-specific documents mainly occurred due to negations e.g. No tremor and denied any tremors. There were not enough false negatives to distinguish a pattern for recall, although this was high.

**Production**

- Run schedule – monthly
- Version - 1
57. WAXY FLEXIBILITY

Description
Application to identify instances of waxy flexibility. Waxy flexibility is a psychomotor symptom of catatonia as associated with schizophrenia, bipolar disorder, or other mental disorders which leads to a decreased response to stimuli and a tendency to remain in an immobile posture.

Definition
Classification of past or present symptom: Both.
Classes produced: Positive, Negative and Unknown.
Positive annotations include she presents as catatonic with waxy flexibility, exhibiting waxy flexibility.
Negative annotations include no waxy flexibility, no evidence of waxy flexibility.
Unknown annotations include his right pre-tibial region was swollen and waxy and slightly pink, waxy flexibility is a very uncommon symptom.

Interrater reliability
Cohen’s k = 96% (50 un-annotated documents - 25 events/25 attachments, search term ‘waxy’)

Search Terms
*waxy*

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents</th>
<th>Performance (annotated)</th>
<th>Un-annotated documents from keyword search in CRIS</th>
<th>Performance (un-annotated)</th>
<th>Keywords used for random extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=90%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 14 ward progress notes, 3 CAMHS events, 2 CCS correspondence, 37 correspondence e-attached text,</td>
<td>P=81%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=80% R=86%</td>
<td>waxy</td>
</tr>
</tbody>
</table>
NOTES
False positives were often due to irrelevant mentions of waxy e.g. Complexion or ear wax. Other false positives were due to negations e.g. waxy flexibility - 0, no evidence of, no ... or waxy flexibility. Unknown mentions were due to uncertain comment e.g. Maybe/possibility waxy flexibility. There was no apparent pattern with the false negatives, apart from most of them just including the word waxy but implying waxy flexibility. Some of the instances were waxy in her facial movements and posture, and waxy non-responsive presentation.

Production
- Run schedule – monthly
- Version - 1
58. WORTHLESSNESS

**Description**
Application to identify instances of worthlessness.

**Definition**


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Positive annotations include feeling worthless, feels hopeless and worthless.

Negative annotations include no worthlessness, denies feelings of worthlessness.

Unknown annotations include his father had told him that he was worthless, would call them worthless.

**Interrater reliability**

Cohen's $k = 82\%$ (50 un-annotated documents - 25 events/25 attachments, search term 'worthless*')

**Search Terms (case insensitive)**

*worthless*

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33* in a structured field, random sample of 30 (one document per patient).</td>
<td>P=90%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 2 mental state formulations, 6 ward progress notes, 3 discharge summaries, 1 mental health care plan, 37 correspondence</td>
<td>P=91%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=88% P=86%</td>
<td>worthless*</td>
</tr>
</tbody>
</table>
NOTES

The majority of false positives occurred due to the negation ‘denies’ and ‘denied’ worthlessness. There were very few unknown mentions.

Production

- Run schedule – monthly
- Version - 1
1. AMPHETAMINE

Description
To identify instances of amphetamine use.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include “denies current use of amphetamine, however last reported using 3 months ago”, “first took amphetamines at the age of 15”, “UDS: +ve amphetamine”, “ZZZZZ has been trying to give up amphetamine for the last 2 months”, “ZZZZZ was found in possession of large quantities of amphetamines”, “She admitted to having bought amphetamine 2 days ago”, “amphetamine-psychosis”

NB. Assumption that if bought cocaine/crack then has also taken it. This is subjective and should be decided by the annotator. It is more important that the annotator is consistent than “right” about classifying this sentence. Even though “stopped” or “gave up” suggest a present lack of exposure, they also indicate a past use and therefore are classified as positive.

Negative annotations include “ZZZZZ denies use of alcohol and amphetamine”, “ZZZZZ has not used amphetamine for the last week”, “-ve: amphetamine”

N.B. Although an addition like “since yesterday” to the negation may suggest that cocaine was taken previously, we still classified a negation as negative.

Unknown annotations include “ZZZZZZZ's mother has a history of amphetamine abuse” – subject other than patient, “ZZZZZ is planning on taking amphetamine this weekend” – future or conditional event, “We discussed the dangers of amphetamine”

Interrater reliability
Cohen’s k = 84% (50 un-annotated documents - 25 events/25 attachments, search term ‘amphetamine*’)

Search Term
amphetamines-have
amphetaminergic
amphetamines-makes
Amphetamine-prescribed
amphetamine-induced
Amphetamine---
amphetamine-induce
amphetamine-based
Amphetamine-
amphetamines-
amphetamine
Amphetamine
Amphetamines
amphetamine-type
amphetamine-sulphate
amphetamines-using
amphetamine-driven
amphetamine-like
amphetamine-family
amphetamine-which
Amphetamine-related
amphetamines-paranoia

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>P=90%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 6 drug and alcohol history, 51 event clinical notes, 43 correspondence attached text</td>
<td>P=76%</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P=80% R=84%</td>
<td>amphetamine*</td>
</tr>
</tbody>
</table>

**NOTES**

All false positives were found in correspondence- attached text comments. 6 were classed as negatives (negations: e.g. never taken, not used amphetamines). The rest were classed as unknowns, all having the
mention of amphetamine within a list to be ticked if patient has/has not been exposed to the substance. An example being: ‘FORMCHECKBOX Amphetamines FORMCHECKBOX Other (please specify) 7.3’.

While current and past use were both labelled as positive, I also labelled whether each positive mention was describing past or present exposure. I categorised past exposure as history of use, describing one specific past incident, or mentioning regular use with emphasis on the patient having stopped now. I categorised present exposure as current use, addiction of, a positive urine test and mention of a regular incident pattern eg uses 2x weekly. The majority of positive mentions were present use (63.2%) compared to past use (36.8%).

There was a contradiction between positive/negative instances. Mentioning having ‘stopped’ was labelled as a positive (as it references past use), however stating ‘has not used’ in past week would be labelled as a negative, despite them both meaning the same thing. This also means that those who have never used and those who have used in the past are both classified as negative, due to a negation term being used.

There was only one positive instance where being exposed to crack was classed as a positive.

Production

- Run schedule - monthly
- Version - 1
2. ASTHMA

Description

Application to identify patients with diagnosis of asthma.

Definition

Development approach: sem-EHR.

Classification of past or present symptom: Both.

Classes produced: Positive.

Positive mentions include:

‘past medical history: eczema, asthma’, ‘diagnosed with asthma during childhood’, ‘uses inhaler to manage asthma symptoms’, ‘suffered from an asthma attack’, ‘ZZZZZ suffers from severe asthma’, ‘Mrs ZZZZZ has mild asthma’.

Interrater reliability

Cohen’s k = 98% (50 patients from patient level testing, 50 documents from annotation level testing, search term ‘asthma’)

Search Terms

Ontology available on request

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 The application excludes the following phrases: ‘possibl* asthma’ ‘formcheckbox asthma’ ‘possibility [0-5 words] asthma’ ‘copd, asthma, bronchitis, etc’</td>
<td>Random sample of 100</td>
<td>Patient-level P=95% R=84%</td>
<td>asthma</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
NOTES

There was no clear pattern of failure with remaining false positives. These included unidentified forms, information sheets, confusion between anxiety and asthma symptoms and the use of ‘asthma’ as an example of a physical health condition.

There was no clear pattern of failure for false negatives. Examples included:

‘Diagnosed with asthma as a child’
‘He suffers with asthma’
‘how she could manage her asthma better’
‘Past medical history – Asthma’
‘uses inhalers for asthma’

Production

- Run schedule – monthly
- Version – 1
3. BRONCHITIS

Description
Application to identify patients with diagnosis of bronchitis.

Definition

Development approach: sem-EHR.

Classification of past or present symptom: Both.

Classes produced: Positive.

Positive mentions include:

Interrater reliability

Search Terms
Ontology available on request

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>The application excludes the following phrases:</td>
<td>Random sample of 200</td>
<td>P=85%</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘possibility [0-5 words] chronic obstructive pulmonary’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘possibility [0-5 words] bronchitis’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘risk of [0-8 words] stroke’</td>
<td></td>
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<td></td>
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<tr>
<td></td>
<td>‘possibl* bronchitis’</td>
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<td></td>
</tr>
<tr>
<td></td>
<td>‘possibl* chronic obstructive pulmonary’</td>
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<td></td>
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<tr>
<td></td>
<td>‘possibl* copd’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘suspected chronic obstructive pulmonary’</td>
<td></td>
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<td></td>
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<td>------------------------------------------</td>
<td>---</td>
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<td></td>
</tr>
<tr>
<td></td>
<td>‘formcheckbox copd’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘suspected copd’</td>
<td></td>
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<td></td>
</tr>
<tr>
<td></td>
<td>‘suspected bronchitis’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘formcheckbox chronic obstructive pulmonary’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘<em>exacerbation of chronic obstructive pulmonary disease%state frequency</em>*’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘copd, asthma, bronchitis, etc’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2 As above plus see post-processing rules in Notes

| 2 | Random sample of 100 for precision and 40 for recall | Patient-level P=85% R=48% | asthma |

3 As above

| 3 | Random sample of 50 | Patient-level P=94% | asthma |

NOTES

No pattern seen in false negatives. Remaining false positives were due to ‘possibly’ bronchitis mentions.

Post-processing rules

Where phrase_exclude = 0

Where (form_exclude = 0 or (form_exclude = 1 and form_exclude_type like 'form_9%') or (form_exclude = 1 and form_exclude_type like 'form_5%'))

We found common forms and phrases within patient records that reduce precision and were thus excluded from the application. The list of forms is available on request.

Production

- Run schedule – monthly
- Version – 1
4. CANNABIS

Description
To identify instances of cannabis use.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

Positive annotations include he is a cannabis smoker, she smoked cannabis when at uni. Include cases where there is a reference to stopping use but not explicit reference to current use e.g., she stopped using cannabis 3 years ago.

Negatives annotations include denied taking any drugs including cannabis, no cannabis use.

Unknown annotations include she stated in hash voice, pot of yoghurt, father cannabis user, pot for UDS.

Interrater reliability

Search Terms (case insensitive)
cannabis
skunk
weed
Pot
marijuana
grass
THC
hash
cannabinoids
resin
hashish
weeds
Cannabis-spices
Spice
ganja
CBD
cannabis-induced
Cannabinoid
cannabies
grasses
Cannaboids
marijuana
cannabase
cannabinoids-free
skunk-
cannabbis
Hashis
cannabinoids-related
cannabi
cannabinoids
cannabinoides
cannabinoides-use
marijuna
cannabus
cannabiss
weed-
skunks
Cannabises
cannabis--
cannabinoid
cannabinoids
THC-
pro-cannabis
cannabinoids-
cannabinoids
hash
marijuana
marijuana
pro-cannabis
THC-
cannabis
cannabinoids-
cannabinoids
pro-cannabis
THC-
cannabinoids-
cannabinoids
pro-cannabis
THC-
cannabinoids-
cannabinoids
pro-cannabis
THC-
## Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>Overall P=93%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Without spice/ cannabinoid/cannabinoid P=93%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>cannabinoid/spice only P=74%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Negative P=48%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>Random sample of 100 - 20 correspondence-attached text, 1 mental health care plan, 6 discharge brief summaries, 2 drug and alcohol histories, 2 ward</td>
<td>Overall P=88%</td>
<td>Random sample of 100 – 50 attachments , 50 events</td>
<td>Overall P=80%</td>
<td>cannabis marijuana weed pot hash skunk resin spice*</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Current P=72%</td>
<td></td>
<td>Current P=59%</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>R=86%</td>
<td></td>
</tr>
</tbody>
</table>

156
| 3 | Application excludes instances of ‘*cannabinoid*’, ‘*cannaboid*’ or ‘*spice*’ (see notes) | Random sample of 100 - 20 correspondence-attached text, 1 mental health care plan, 6 discharge brief summaries, 2 drug and alcohol histories, 2 ward progress notes, 7 mental state assessment summaries, 62 event clinical notes | Overall P=88% Current P=72% | Random sample of 100 – 50 attachments, 50 events | P=77% R=93% | cannabis marijuana weed pot hash skunk resin |

**NOTES**

False positives were mainly references when the term ‘pot’ was irrelevant e.g. pot of yogurt or pot for urine testing. Often many references to cannabis use were consistently flagged in the same document.

**Code for post-processing**

*Name* not like ‘%cannabinoid%’, ‘%cannaboid%’ or ‘%spice%’

**Production**

- Run schedule – monthly
- Version - 1
5. COCAINE OR CRACK COCAINE

Description
To identify instances of cocaine or crack cocaine use.

Definition


*Classification of past or present symptom*: Both.

*Classes produced*: Positive, Negative and Unknown.

Positive annotations include “denies current use of cocaine, however last reported using 3 months ago”, “first smoked cocaine at the age of 15”, “UDS: +ve cocaine”, “ZZZZZ has been trying to give up cocaine for the last 2 months”, “ZZZZZ was found in possession of large quantities of cocaine”, “She admitted to having bought cocaine 2 days ago”, “He has stopped taking cocaine”.

N.B. Assumption that if bought cocaine/crack then has also taken it. This is subjective and should be decided by the annotator. It is more important that the annotator is consistent than “right” about classifying this sentence. Even though “stopped” or “gave up” suggest a present lack of exposure, they also indicate a past use and therefore are classified as positive.

Negative annotations include “ZZZZZ denies use of street drugs such as cocaine”, “ZZZZZ has not used cocaine for the last week”, “Crack N” – form style.

N.B. Although an addition like “since yesterday” to the negation may suggest that cocaine was taken previously, we still classified a negation as negative.

Unknown annotations include “ZZZZZ’s mother has a history of crack abuse” – another subject other than the patient, “ZZZZ is planning on taking cocaine this weekend” – future or conditional events, “When cooking he decided to crack the eggs open” – irrelevant, “ZZZZZ believes cocaine isn’t good for people” – irrelevant, “We discussed the dangers of crack”.

Also include statements such as ‘He did not smoke cocaine today’- unclear whether past use or never use.

*Interrater reliability*

Cohen’s k = 95% (50 un-annotated documents - 25 events/25 attachments, search term ‘cocaine**’)

*Search Terms*
cocaine
Cocaine-
COCAINE--
Cocaine----
cocaine--this
cocaine-based
cocaine-cannot
cocaine-cautioned
cocaine-dealing
cocaine-dependence
cocaine-ecstacy-has
cocaine-for
cocaine-greatly
cocaine-he
cocaine-however
cocaine-induced
cocaine-initially
Cocaine-it
cocaine-laced
cocaine-last
Cocaine-last
ed
Cocaine-managed
cocaine-most
cocaine-not
Cocaine-occasional
cocaine-positive
cocaine-postitive
cocaine-presented
cocaine-referred
cocaine-related
cocaine-smoking
Cocaine-snorting
cocaine-some
Cocaine-started
cocaine-surely
cocaine-trigger
cocaine-up
cocaine-use
Cocaine-used
Cocaine-uses
Cocaine-using
cocaine-was
cocaine-weekend
<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one document per patient)</td>
<td>Overall P=97%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of</td>
<td>P=79%</td>
<td>Random sample of</td>
<td>P=84%</td>
<td>crack*</td>
</tr>
</tbody>
</table>

**Performance**

1. All patients, random sample of 30 (one document per patient)

2. Random sample of
False positives occurred when mentions were of patients describing other individuals as crack users or describing what those users are like in general. False positives also occurred when mentions were of an individual that was not the patient. Unknowns were questions of patient’s use of cocaine/crack, vague comments e.g. ‘appears to be’, and when the patient dealt the drug to other individuals for profit.

While current and past use were both labelled as positive, I also labelled whether each positive mention was describing past or present exposure. I categorised past exposure as history of use, describing one specific past incident, or mentioning regular use with emphasis on the patient having stopped now. I categorised present exposure as current use, addiction of, a positive urine test and mention of a regular incident pattern e.g. uses 2x weekly. The majority of positive mentions was past use (62%) compared to present use (38%).

There was a contradiction between positive/negative instances. Mentioning having ‘stopped’ was labelled as a positive (as it references past use), however stating ‘has not used’ in past week would be labelled as a negative, despite them both meaning the same thing. This also means that those who have never used and those who have used in the past are both classified as negative, due to a negation term being used.

In all cases, ‘crack cocaine’ was classed as two positive instances (crack and cocaine independently).

**Production**

- Run schedule - monthly
- Version - 1
6. CROHN’S DISEASE

Description
Application to identify patients with diagnosis of Crohn’s disease.

Definition

*Development approach:* sem-EHR.

*Classification of past or present symptom:* Both.

*Classes produced:* Positive.

Positive mentions include:

‘recently been diagnosed with crohn’s disease’, ‘ZZZZ has crohn’s disease’, ‘she has a history of crohn’s disease’, ‘has been hospitalised due to severe crohn’s disease’, ‘physical health history: asthma, diabetes, hypertension, crohn’s disease’

*Interrater reliability*

Cohen’s k = 98% (50 patients from patient level testing, 50 documents from annotation level testing, search term ‘crohn*’)

*Search Terms*

Ontology available on request

*Performance*

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>The application excludes the following phrases:</td>
<td>Random sample of 50</td>
<td>Patient level</td>
<td>‘crohn*’</td>
<td>‘crohn*’</td>
</tr>
<tr>
<td></td>
<td>‘possibl* crohn*’</td>
<td></td>
<td>P=94%</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘suspected crohn*’</td>
<td></td>
<td>R=78%</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘formcheckbox crohn*’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘risk of [0-8 words] crohn*’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
‘possibility [0-5 words] crohn*’
‘?crohn*’
See post-processing rules in Notes

NOTES

Remaining false positives occurred in the following instances:

1. Instances where the patient is not the subject:
   ‘son crohns disease’
   ‘her ex partner has crohns disease’

2. Random instances of ‘Crohn’s’ mentioned where the patient does not have a Crohn’s disease diagnosis:
   ‘Crohn’s diet’
   ‘says she has Crohn’s vagina’

There is no clear pattern of failure for remaining false negatives:

‘she was diagnosed with Crohn’s disease’
‘monitor bowels in light of Crohn’s diagnosis’
‘an elective colonoscopy for Crohn’s disease’
‘Had surgery in 2011 due to Crohn’s disease’
‘he said that his Crohn’s disease has been acting up’
‘history: Psoriasis constipation Crohns social anxiety and depression’

Post-processing rules

Through testing, we have found that optimum precision and recall are indicated when documents containing >2 mentions of the illness are not excluded. We do not exclude documents containing the above phrases if they contain >2 mentions of the illness.

We found common forms and phrases within patient records that reduce precision and were thus excluded from the application. The list of forms is available on request.

Production

- Run schedule – monthly
- Version – 1
7. DIAGNOSIS

Description

Application to extract instances of diagnosis.

Definition

*Development approach:* Rule-based.

The main aim is to look for a standard or as close as possible to a definitive standard diagnosis:

1.) When reading through document, if you come across phrase(s) similar to the examples below:

......Diagnosis: Fxx.x diagnosis name......(this could be with or without the colon, or could even have several colons and/or other punctuation marks before they diagnosis name, following each

......Diagnosis Fxx.x diagnosis name......

......Diagnosis: diagnosis name......

......Diagnosis: Fxx.x......

Highlight this as 'Diagnosis' – please label the annotation just as I have specified it (i.e. with a CAPITAL D).

2.) The following features have been added under the Diagnosis annotation:

ICD10: if there is a name of a diagnosis, but no ICD10 code, find the ICD10 code and fill in under the feature ICD10

Diagname: if there is a diagnosis name then please copy this in the annotation feature. Please copy the exact diagnosis name even if it varies from the official ICD10 name.

Diffdiag – add this only if there is a differential diagnosis. This kind of diagnosis is often mentioned because usually most documents are trying to find out what the diagnosis is and in the process give a possible diagnosis which is vague or will not be the correct one eventually.

Nonpsychdiag – any definite diagnosis where the annotation does not come under the F group diagnosis. For example, COPD.

Interrater reliability

N/A

Search Terms *case insensitive*)

Gazetteer of diagnoses and ICD10 codes.

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 50 – 25 attachments, 25 events for each group</td>
<td><strong>Lifetime precision</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>F20/schizophrenia – 96%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>F20 – 100%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>SMI – 95%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Schizoaffective – 80%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Depression – 100%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Lifetime recall</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>F20/schizophrenia - 63%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>F20 - 65%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>SMI - 43%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Schizoaffective - 29%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Depression - 40%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>All patients with primary diagnosis of learning disability in a structured field or unstructured text (<em>f7</em> or <em>learning dis</em>), random sample of 50</td>
<td>P=96%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Production**

- Run schedule - weekly
- Version - 1
8. DOMESTIC VIOLENCE

Description
Application to identify instances of domestic violence.

Definition


Classification of past or present symptom: Both.

Classes produced: Positive, Negative and Unknown.

The annotator is presented with a keyword, in a context, which they then should annotate as ‘Positive’ (relevant), ‘Negative’ (irrelevant) or ‘Unknown’ (at the machine learning stage, unknowns are counted as negative).

In the context of this application, annotators needed to annotate cases where the keyword ‘DV’ referred to any instance of actual or alleged domestic violence. This was conducted on the basis of a feminist empowerment model, where any and all allegations of domestic violence are taken seriously. Instances do not necessarily pertain to the patient, and can be historical or current.

Inclusion criteria involved any examples of violence in the context where the noun was contained “ZZZZZ was a victim of DV”; “X has a history of domestic violence”; “he experienced DV in the past”.

Exclusion criteria were instances where the term “DV” or “domestic violence” did not describe any form of domestic violence (in most cases, this was where “DV” referred to “domiciliary visit”), for example “saw X on a DV yesterday”. Furthermore, it was often not clear whether an allegation of domestic violence had taken place, for example: cases where domestic violence was denied “e.g. ZZZZZ denied that DV took place”, “denies any domestic violence” were excluded.

Interrater reliability

Cohen’s k = 87% (180 unannotated documents, search terms ‘dv’ and ‘domestic violence’)

Search Terms

domestic violence

DV

Performance

<table>
<thead>
<tr>
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<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – CAMHS events-comments</td>
<td>P=94%</td>
<td>Random sample of 100 (50 events and</td>
<td>P=86%</td>
<td>‘DV’</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>R=93%</td>
<td></td>
<td>‘domestic’</td>
</tr>
</tbody>
</table>
Production

- Run schedule – monthly
- Version – 2
9. EDUCATION

Description

Application to identify the highest level of education at patient level.

Definition

**Development approach:** Rule-based.

The Education application will produce 3 features for each annotation:

Group: A levels/GCSE/unqualified

Subject: patient/uncertain

Rule: Annotations for each group will be assigned independently of each other, e.g. in theory the same text could produce annotations in each group.

**Group 1: A level group**

<table>
<thead>
<tr>
<th>Rule</th>
<th>Stage of course</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accepted</td>
<td>Accepted for A-level course or equivalent (course or institution)</td>
</tr>
<tr>
<td>Ongoing</td>
<td>Started course but not (yet) completed (including evidence of attending relevant institution)</td>
</tr>
<tr>
<td>Dropped out</td>
<td>Started course but not completed - dropped out</td>
</tr>
<tr>
<td>Expelled</td>
<td>Started course but not completed - expelled</td>
</tr>
<tr>
<td>Failed</td>
<td>Completed course – failed all exams</td>
</tr>
<tr>
<td>Completed</td>
<td>Completed course</td>
</tr>
<tr>
<td>Passed</td>
<td>Passed at least one exam</td>
</tr>
<tr>
<td><strong>Applied_undergrad</strong></td>
<td><strong>Applied for university / course</strong></td>
</tr>
</tbody>
</table>

Note: as above, aspirations, plans, application only are not included.

**Group 2: GCSE group**

<table>
<thead>
<tr>
<th>Rule</th>
<th>Stage of course</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ongoing</td>
<td>Started GCSE course (or equivalent) but not (yet) completed</td>
</tr>
<tr>
<td>Completed</td>
<td>Completed GCSE course or equivalent</td>
</tr>
<tr>
<td>Passed</td>
<td>Passed at least one exam (GSCE or equivalent)</td>
</tr>
<tr>
<td><strong>Applied_A-level</strong></td>
<td><strong>Applied for 6th form (college) / A-level</strong></td>
</tr>
</tbody>
</table>
**Group 3: unqualified group**

<table>
<thead>
<tr>
<th>Rule</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unqualified</td>
<td>A specific reference in notes describing as having left school without any qualifications.</td>
</tr>
<tr>
<td>GSCE_Dropped_out</td>
<td>Started GCSE course but not completed - dropped out</td>
</tr>
<tr>
<td>GSCE_Expelled</td>
<td>Started GCSE course but not completed - expelled</td>
</tr>
<tr>
<td>GSCE_Failed</td>
<td>Completed GCSE course – failed all exams</td>
</tr>
</tbody>
</table>

**Interrater reliability**

GCSE – Cohen’s k = 90% (50 annotated documents – 25 events, 25 attachments)

No qualifications - Cohen’s k = 100% (50 annotated documents – 25 events, 25 attachments)

**Search Terms (case insensitive)**

Gazetteer available on request

**Performance**

<table>
<thead>
<tr>
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<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 - 100 CAMHS-clinical notes</td>
<td>General P=70% Level P= 99% Who P= 75.7%</td>
<td>Random sample of 100 - events and attachments</td>
<td>P=86% R=23%</td>
<td>a-level* cse* a level*</td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 2 ward progress notes, 1 social situation, 1 mental health formulation, 1 presenting</td>
<td>General P=89% Who P=89%</td>
<td>Random sample of 100 - events and attachments</td>
<td>P=91% R=23%</td>
<td>gcse*</td>
</tr>
<tr>
<td></td>
<td>Random sample of 100 - 52 attachments, 3 CAMHS events, 3 CCS correspondence, 2 discharge notification summaries, 30 events, 4 personal histories, 1 presenting circumstances, 4 ward progress notes</td>
<td>General P=87% Subject P=81% Patient-only P=85%</td>
<td>Random sample of 100 - events and attachments</td>
<td>General P=91% Recall=84%</td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Random sample of 100 - 52 attachments, 3 CAMHS events, 3 CCS correspondence, 2 discharge notification summaries, 30 events, 4 personal histories, 1 presenting circumstances, 4 ward progress notes</td>
<td>General P=87% Subject P=81% Patient-only P=85%</td>
<td>Random sample of 100 - events and attachments</td>
<td>General P=91% Recall=84%</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Random sample of 100 - 53 correspondence-attached</td>
<td>General P=94% Who P=83%</td>
<td>Random sample of 100 - events and attachments</td>
<td>P=77% R=15%</td>
<td></td>
</tr>
</tbody>
</table>

6th form college
AS in
AS level examinations
AS level exams
Diploma
NVQ 3
National diploma
A level
A level
to college to study

no gcse*
without gcse*
failed gcse*
<table>
<thead>
<tr>
<th>5</th>
<th>Random sample of 100 – personal history</th>
<th>Patient-level P=55%</th>
</tr>
</thead>
<tbody>
<tr>
<td>text, 1 CAMHS event-clinical note, 1 discharge summary, 1 CCS correspondence-attached text, 3 ward progress notes, 1 presenting circumstance, 7 personal histories, 40 events-clinical notes</td>
<td></td>
<td>incomplete gcse* without any gcse* without any qualification* Without qualification* without formal qualification* without any formal qualification*</td>
</tr>
<tr>
<td>171</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Random sample of 60 – events and attachments  
Patient-level P=65%  
As above

Random sample of 100  
Patient-level P=83%  
As above

Random sample of 100 – 50 events, 50 attachments  
Patient-level P=80%  
R=81%  
As above

NOTES

Round 5
There was no seen pattern in false level instances. It was also unsure whether low precision was due to the app or due to personal histories not encompassing the general education level (present in other documents).

Round 6
Over half of the documents were NULL, due to CAMHS involvement: children were too young to have a qualification. Most of the errors were in these documents, as children aspired to go to university/ college (labelled as a positive instance by the app).

Round 7
Most false positives were due to not recognising the GNVQ – level 1.2 qualification, mention of MA (labelled as university falsely) and hypothetical mention of applying to university.

Rounds 7 and 8
75% of false positives were due to the app labelling education level as university when it was actually lower (gcse or a level). These were often due to the ‘MA’ abbreviation being misunderstood, hypothetical mentions of applying for university or thinking of applying or irrelevant mentions of someone else going to university (eg. Child/sister). Other false positives were due to problems with the GNVQ qualification (usually classed at a higher level than it is). This might be hard for the app to distinguish as GNVQ level 1 and 2 could be GCSE or A level. False negatives were usually due to the NVQ qualification, classed as null rather than a gcse level (level 2). Other false negatives were mentions of leaving school when the mention did not have the word ‘qualification’ in it. eg. ‘left school at 14’, ‘left school without Q’s’ ‘limited schooling’ and ‘no formal education after age of 13’ were classified as null.
Production

- Run schedule – on request
- Version - 1
10. HYPERTENSION

Description
Application to identify patients with diagnosis of hypertension or high blood pressure.

Definition

*Development approach:* sem-EHR.

*Classification of past or present symptom:* Both.

*Classes produced:* Positive.

Positive mentions include:

‘Recently been diagnosed with hypertension’, ‘ZZZZ has high blood pressure’, ‘she has a history of hypertension’, ‘physical health history: asthma, diabetes, high blood pressure’.

*Interrater reliability*

Cohen’s k = 91% (50 patients from patient level testing, 50 documents from annotation level testing, search term ‘hypertension*’, ‘high blood pressure*’)

*Search Terms*

Ontology available on request

*Performance*

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
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<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>The application excludes the following phrases:</td>
<td>Random sample of 200</td>
<td>P=94%</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘possibility [0-5 words] hypertension’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘risk of [0-8 words] hypertension’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>‘possibl* hypertension’</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>‘suspected hypertension’</td>
<td>‘formcheckbox hypertension’</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>---</td>
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<td>---</td>
<td>---</td>
<td>---</td>
<td></td>
</tr>
</tbody>
</table>

2
As above
See post-processing rules in Notes

Random sample of 100 for precision and 50 for recall

Patient-level
P=94%
R=94%

hypertension*

NOTES
Remaining false positives refer to: side effects/risk of hypertension and a couple of family hypertension mentions.

No pattern could be seen in the false negatives raised.

Post-processing rules

Where phrase_exclude = 0

Where \((\text{form}_\text{exclude} = 0 \text{ or } (\text{form}_\text{exclude} = 1 \text{ and } \text{form}_\text{exclude}_\text{type} \text{ like 'form}_\text{3}\text{%}') \text{ or } (\text{form}_\text{exclude} = 1 \text{ and } \text{form}_\text{exclude}_\text{type} \text{ like 'form}_\text{2}\text{%}') \text{ or } (\text{form}_\text{exclude} = 1 \text{ and } \text{form}_\text{exclude}_\text{type} \text{ like 'form}_\text{9}\text{%}') \text{ or } (\text{form}_\text{exclude} = 1 \text{ and } \text{form}_\text{exclude}_\text{type} \text{ like 'form}_\text{10}\text{%}')))\)

We found common forms and phrases within patient records that reduce precision and were thus excluded from the application. The list of forms is available on request.

Production
- Run schedule – monthly
- Version – 1
11. LIVES ALONE

Description
Application to identify instances of living alone.

Definition

*Development approach:* Rule-based.

The application identifies the following:

1. Lives on her own
   Who - none

2. She lives alone
   Who - She

3. He presently lives alone on 7th floor.
   Subject – He

4. His father lives alone.
   Subject – Father

*Interrater reliability*

Cohen's $k = 100\%$ (50 un-annotated documents - 25 events/25 attachments, search term 'lives on his/her own'), 'lives by him/herself', 'lives alone')

*Search Terms (case insensitive)*

Lives alone
Lives by himself
Lives by herself
Lives on his own
Lives on her own

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 - 1 presenting circumstances, 3 mental health</td>
<td>Overall P=97% Subject P=61%</td>
<td>Random sample of 100 - 50</td>
<td>P=77% R=83%</td>
<td>lives on his/her own lives by him/herself</td>
</tr>
</tbody>
</table>
formulations, 7 personal histories, 7 CAMHS events-clinical notes, 3 CCs correspondence - attached text, 1 mental health care plan, 32 correspondence - attached text, 46 events-clinical notes

<table>
<thead>
<tr>
<th>attachments, 50 events</th>
</tr>
</thead>
<tbody>
<tr>
<td>lives alone</td>
</tr>
</tbody>
</table>

NOTES

Only three false positives in the annotated document, occurring as the mention of living alone was part of a list/was questioned and when a contradictory statement was used ‘lives alone with....’. Subject precision was low because statements without an identifier e.g. he/she/ZZZ and just simply ‘lived alone’ were classified as ‘none’. When these were excluded, precision rose to 83.5%. False positives in the non-annotated documents occurred due to uncertain references to living alone (similar to annotated) and certain negations. Positives not included (affecting recall) are mentions of the patient living ‘independently’

Production

- Run schedule – on request
- Version - 1
12. LONELINESS

Description
Application to identify instances of loneliness.

Definition
Classification of past or present symptom: Both.
Classes produced: Positive, Negative and Unknown.

Positive: Text indicates that the patient is lonely, or the patient confirms they have a sense/feeling of loneliness.
Negative: Patient is not lonely or denies being lonely.
Unknown: There is reference to loneliness but it does not relate to the patient themselves. Examples of this could be that the patient’s family member is lonely; that they are participating in an activity on a ward to prevent boredom/loneliness. Instances where the EHR discusses the prevention of loneliness would be classified as unknown (however, if the text mentions “preventing further loneliness”, this can be identified as positive, as it confirms that the patient has been lonely). Instances where a clinician suspects loneliness, or if there “might be loneliness/lonely” but it is not declared by, or agreed by the patient, would be classified as unknown. Forms: Loneliness if indicated on a form as a heading or question would be classified as unknown.

Interrater reliability
Cohen’s k= 81% (100 unannotated documents, search terms ‘lonely’, ‘loneliness’)

Search Terms
lonely
loneliness

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
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<tbody>
<tr>
<td>1</td>
<td>Random sample of 100</td>
<td>P= 86%</td>
<td>Random sample of 100</td>
<td>P= 77% R= 98%</td>
<td>lonely, loneliness</td>
</tr>
<tr>
<td>2</td>
<td>The application excludes: no lonel*, denied feeling lonely, not feel lonely,</td>
<td></td>
<td>Random sample of 100</td>
<td>P= 87% R= 100%</td>
<td>lonely, loneliness</td>
</tr>
</tbody>
</table>


178
<table>
<thead>
<tr>
<th>mother is lonely, father is lonely, do you have long-term feelings of emptiness and loneliness</th>
</tr>
</thead>
</table>

**Production**

- Run schedule – monthly
- Version – 1
13. MDMA

**Description**

Application to identify instances of MDMA use.

**Definition**


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Positive annotations include “denies current use of MDMA, however last reported using 3 months ago”, “first took MDMA at the age of 15”, “UDS: +ve MDMA”, “ZZZZZ has been trying to give up MDMA for the last 2 months”, “ZZZZZ was found in possession of large quantities of MDMA”, “She admitted to having bought MDMA 2 days ago”. “He has stopped taking MDMA”.

N.N. Assumption that if bought MDMA then has also taken it. This is subjective and should be decided by the annotator. It is more important that the annotator is consistent than “right” about classifying this sentence. Even though “stopped” or “gave up” suggest a present lack of exposure, they also indicate a past use and therefore are classified as positive.

Negative annotations include “ZZZZZ denies use of street drugs such as MDMA”, “ZZZZZ has not used MDMA for the last week”, “UDS -ve: MDMA”.

N.B. Although an addition like “since yesterday” to the negation may suggest that MDMA was taken previously, we still classified a negation as negative.

Unknown annotations include “ZZZZZ’s mother has a history of MDMA abuse” – another subject other than the patient, “ZZZZ is planning on taking MDMA this weekend” – future or conditional events, “ZZZZZ believes MDMA isn’t good for people” – irrelevant, “We discussed the dangers of MDMA”, “MDMA”.

**Interrater reliability**

Cohen’s k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ‘mdma’)

**Search Terms (case insensitive)**

mdma

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample of 30 (one)</td>
<td>P=87%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>document per patient)</td>
<td>Random sample of 100 - 7 ward progress notes, 10 CCS correspondence - attached text, 1 CAMHS event, 22 correspondence - attached text, 60 event-clinical notes</td>
<td>P=94%</td>
<td>Random sample of 100 – 50 attachments , 50 events</td>
<td>P=100% R=99%</td>
</tr>
<tr>
<td>---</td>
<td>-----------------------</td>
<td>--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>-------</td>
<td>--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>-------</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTES**

False positives occurred when there was suspected MDMA use, future planned use that hadn’t been undertaken yet and one negation ‘denies’ use. The couple of unknown mentions were when MDMA was part of a list without direction as to whether MDMA use was prevalent.

**Production**

- Run schedule - monthly
- Version - 1
14. MEDICATION

Description

The Medication Application distinguishes between medications that are currently prescribed (i.e. at the time of the document was written) and medications prescribed to the patient in the past. The application ignores medications that might be prescribed in the future. This is because a clinician may write that a patient should be prescribed a certain drug if their condition worsens but that may never happen to the patient. The Medication application does not calculate daily dose of a drug, just the dose given at a single point in time.

The application output is linked to BNF codes to enable researchers to filter by drug class. N.B. Some drugs with antidepressant BNF codes appear more frequently as antipsychotics (e.g., flupentixol). Care should be taken when extracting patients who have ever used an antidepressant to ensure antipsychotic usage is not erroneously included. Corresponding dosage information is informative in determining whether a patient used a drug as an antipsychotic or as an antidepressant.

Definition

Development approach: Rule-based.

The application appears to preferentially detect medications:

(a) With corresponding dosage information

(b) Written in this format: ‘Medication: ‘Current medications:’

Interrater reliability

N/A

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P = Not sufficient positive instances to test Recall</td>
<td>Current rx: overall (90%); attachments (94%); events (83%)</td>
<td>BNF antipsychotics</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>overall (79%); attachments (90%); events (67%)</td>
<td></td>
<td></td>
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<td>---</td>
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<td>---</td>
<td>---</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P = Not sufficient positive instances to test Recall</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Current rx: overall (71%); attachments (53%); events (86%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Current rx or direct evidence of current use: overall (69%); attachments (53%); events (82%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P = Not sufficient positive instances to test Recall</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Current rx: overall (83%); attachments (89%); events (73%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>P = Not sufficient positive instances to test Recall</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Current rx: overall (71%); attachments (53%); events (86%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>BNF antidepressants</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>BNF antipsychotics</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Random sample of 50 (only if one mention per document)</td>
<td>Precision</td>
<td>Amlodipine</td>
<td></td>
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<td>---</td>
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<td>---</td>
<td>---</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>Drug=99%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Dose=99%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Recall</td>
<td>Drug=88%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>6</th>
<th>Random sample of 200 – 100 attachments, 100 events</th>
<th>PRECISION Attachments</th>
<th>Antipsychotics</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Instance level - Ever used: 96%;</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Instance level - current use: 71%; document level - current Rx: 82%</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Attachments filtered for present tense only</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Instance level ever used - 96%; instance level current use 76%; document level current Rx 85%</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Attachments with dosage</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Instance level ever use - 97%; instance level current use - 76%; doc level current rx - 84%</td>
<td></td>
</tr>
<tr>
<td>Events</td>
<td>Random sample of 50 – 25 attachments, 25 events</td>
<td>PRECISION Attachments</td>
<td>Diabetic drugs with BNF code '060101*' or '060102*'</td>
</tr>
<tr>
<td>--------</td>
<td>-----------------------------------------------</td>
<td>----------------------</td>
<td>--------------------------------------------------</td>
</tr>
<tr>
<td>Instance level - ever used: 94%; instance level - current use: 85%; document level current Rx: 77%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Events filtered for present tense only</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Same as without filtering</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Events with dosage</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Instance level ever use - 98%; instance level current use: 92%; doc level current rx: 87%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Dosage precision (including precision of unknowns):</strong> 94%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Tense precision:</strong> 76%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>RECALL</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Not tested</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

7

Random sample of 50 – 25 attachments, 25 events
| 8 | Random sample of 20 – for patients with 1st prescription after 01.01.2007 for any of the medication terms | precision without dosage |
|   | | **Events** Instance level - ever used: 94%; current use: 82%; doc level - current use: 88%, current Rx: 73% |
|   | | **Events with dosage** Same as overall precision without dosage |
|   | | **Tense precision** Overall 73% (83% for present, 19% for past) |
|   | | **RECALL** Not tested |

<p>|   |  | <strong>PRECISION</strong> |
|   |  | <strong>Antipsychotics</strong> |
|   |  | Document level - Ever use: 97% |
|   |  | Document level - current use: 88% |
|   |  | Patient level - Ever use: 99% |
|   |  | Start date precision - Same day: 53% one week: 61% one month: 63% three months: 66% |
|   |  | <strong>Antidepressants</strong> |
|   |  | Document level - ever use: 94% |
|   |  | Document level - current use: 85% |
|   |  | Olanzapine (filtered for dose info only) |
|   |  | Clozapine |
|   |  | Risperidone |
|   |  | Aripiprazole |
|   |  | Quetiapine |
|   |  | Sertraline |
|   |  | Citalopram |
|   |  | Mirtazapine |
|   |  | Fluoxetine |
|   |  | Venlafaxine |
|   |  | Sodium valproate |</p>
<table>
<thead>
<tr>
<th>Medication</th>
<th>Patient level - ever use: 97%</th>
<th>Start date precision:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sodium Valproate</td>
<td>Same day:42% one week:43% one month:49% three months: 59%</td>
<td></td>
</tr>
</tbody>
</table>

**Sodium Valproate**

- **Document level - ever use**: 90%
- **Document level - current use**: 80%
- **Patient level - ever used**: 99%

<table>
<thead>
<tr>
<th>Medication</th>
<th>Start date precision:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sodium Valproate</td>
<td>Same day: 45% one week: 50% one month:50% three months: 50%</td>
</tr>
</tbody>
</table>

**RECALL**

- **Antipsychotics**: Evidence of earlier use than the start date indicated by the app: 39% of records.
  - App-detectable for 17% of these records.
- **Antidepressants**: 47% of records indicated an earlier start date
  - 10% of these were app-detectable
Production

- Run schedule – weekly
- Version - 2

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th>Sodium valproate: 50% of records indicated an earlier start date 5% were app-detectable</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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15. OCCUPATION

Description
Application to identify occupations/work descriptions and who these refer to.

Definition


Classification of past or present occupation: Both.

There are two parts to each annotation: Firstly, the occupation feature is annotated - this could be a job title, for example a ‘builder’; or a job description, for example ‘working in construction’. Secondly, the occupation relation is annotated: who the occupation belongs to, for example the patient or their family member.

Unpaid occupational categories were included (e.g. student, unemployed, homemaker, volunteer). Depending on the text available, extractions can state a specific job title (e.g. head-teacher) or a general occupational category (e.g. self-employed).

Work aspirations were excluded from annotations. Frequently extracted health/social care occupations (e.g. psychiatrist) are not annotated as belonging to the patient, in order to maximise precision.

Occupation feature (text) – the job title (e.g. ‘hairdresser’)  
Occupation relation (text) – who the occupation belongs to (e.g. ‘patient’)

The full annotation guideline document is available on request.

Interrater reliability

Cohen’s $k = 77\%$ for occupation feature (200 ‘personal history’ documents)

Cohen’s $k = 72\%$ for occupation relation (200 ‘personal history’ documents)

Search Terms

Gazetteer available on request

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 The application employs a filter by which healthcare-related occupations such as psychiatrist, Random sample of 666 gold-standard ‘Personal History’ documents</td>
<td>Document-level Overall $P=79%$ Overall</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>---</td>
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<td>---</td>
<td>---</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>social worker are assigned relation feature of ‘relation-other’. Full list of these occupations is available on request.</td>
<td></td>
<td>R=77%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>As above</td>
<td>Random sample of 200 ‘personal history’ documents</td>
<td>Document-level Overall P=77% Overall R=79%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>As above</td>
<td>Random sample of 82 ‘personal history’ documents from records of patients aged &gt;= 16 years – 40 document overall, 41 documents patient-only testing</td>
<td>Overall P=96% Patient-only occupation P=96%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>As above</td>
<td>Random sample of 116 documents (excluding ‘personal history’) from records of patients aged &gt;= 16 years – 51 documents overall, 66 documents patient-only testing</td>
<td>Overall P=93% Patient-only occupation P=66%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
5 | As above | Random sample of 166 documents annotated by app as ‘other’ occupation | Overall P=23%
---|---|---|---

**NOTES**

This app performs best on personal history documents but can be used when text-mining from other free-text document types on CRIS.

Where the application can’t identify the job title from the text, the feature is assigned as ‘other’. Round 4 of testing showed that this annotation gives poor precision performance. This is because the application often assigns this feature to sentences which indicate work but are false positives (e.g. ‘working on his anxiety’). It is advised that these annotations should be excluded from any analysis.

**Production**

- Run schedule – monthly
- Version – 1
16. RHEUMATOID ARTHRITIS

Description
Application to identify patients with diagnoses of rheumatoid arthritis.

Definition

Development approach: sem-EHR.

Classification of past or present symptom: Both.

Classes produced: Positive.

Positive mentions include:
‘ZZZZZ has been in pain due to her rheumatoid arthritis’, ‘she has been bedbound with rheumatoid arthritis this week’, ‘medication for her rheumatoid arthritis’, ‘physical health comorbidities: hypertension, rheumatoid arthritis’, ‘diagnosed with rheumatoid arthritis is 1988’

Interrater reliability
Cohen’s k = 98% (50 patients from patient level testing, 50 documents from annotation level testing, search term ‘rheumatoid arthritis’)

Search Terms
Ontology available on request

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>The application excludes the following phrases:</td>
<td>Random sample of 100 for precision and 50 for recall</td>
<td>R=91% R=86%</td>
<td>rheumatoid arthritis</td>
<td></td>
</tr>
</tbody>
</table>
NOTES

Remaining false positives occur due to:

1. Uncertain diagnoses:
   ‘has she been diagnosed with rheumatoid arthritis?’
   ‘(still waiting for the results, including enquiry re Rheumatoid Arthritis)’
   ‘differential diagnosis was ankylosing spondylitis; rheumatoid arthritis’

2. Undetected forms/headings:
   ‘Antibodies to citrullinated peptide or citrullinated filaggrin are highly specific for Rheumatoid Arthritis’
   ‘Rheumatoid arthritis, Hashimoto’s thyroiditis and lupus are examples of autoimmune diseases’
   ‘The physical symptoms can be as disabling as multiple sclerosis, systemic lupus, rheumatoid arthritis and other chronic conditions (NICE guidelines 2007)’

There was no pattern of false negatives, which included failures such as:
   ‘diagnosed with rheumatoid arthritis’
   ‘he said he has rheumatoid arthritis’
   ‘she also has a history of rheumatoid arthritis’

Post-processing rules
Through testing, we have found that optimum precision and recall are indicated when documents containing >2 mentions of the illness are not excluded. We do not exclude documents containing the above exclusion terms if they contain >2 mentions of the illness.

**Production**

- Run schedule – monthly
- Version – 1
17. SMOKING

Description

This application distinguishes between people who are a) current smokers, b) current non-smokers (ever smoked) and c) non-smokers. This application may at times bring back contradictory information on the same patient since patient may start smoking and stop smoking and because of the varied level of information available to the clinician.

Definition

*Development approach*: Rule-based.

*Annotation Rules*

**Status:**

One of the following must be annotated in the status feature:

- **Never** = clearly not smoking currently or just a general message that the subject does NOT smoke. Ex: “...is a non-smoker”, “... was/is not a smoker”, “... doesn’t smoke”, “ZZZZZ denies ever smoking”, or “... is currently not smoking”

- **Current** = a clear message that the subject is currently smoking

  Ex: “...smokes 20 cigarettes a day”, “... has been smoking for 10 years”, “... is a smoker”, “ZZZZZ smokes in the ward”, “...went to garden for a smoke”, “ZZZZZ is stable when smoking”, “...has a history of heavy smoking”, “Consider stopping smoking”, “ZZZZZ found smoking in her room” or “... is a tobacco user”)

- **Past** = any hint that the subjects was smoking

  Ex: “... used to smoke”, “... has quitted smoking”, “... stopped smoking”, “ZZZZZ is an ex-smoker” or “...was a smoker”)

**Subject:**

One of the following must be annotated in the subject feature: “patient” or “other”. For the most cases, the information of smoking is about the subject him/herself. But, there is still a need to exclude the “noise” from “other” smokers. If there is no subject in the whole sentence, it should be considered as the subject is the patient him/herself. ZZZZZ is the symbol used for anonymising patient’s name. QQQQQQ is now used to anonymise someone other than the subject and staff in clinics or hospitals. If no clear information could be identified for subject feature within the whole sentence (ex., “He stopped smoking for years”), the subject should be taken as the patient.

Examples:

- Advised by GP for smoking cessation – “current” and “patient”
- Bought tobacco – “current” and “patient”
- Used the smoking room – “current” and “patient”
- has stopped smoking for years – “past” and “patient”
- ;;;;; Smoking;;;;; - “current” and “patient”
- ...doesn’t smoke – “never” and “patient”
- ...is quitting smoking – “current” and “patient”
...stopped smoking for 2 years – “past” and “patient”

N.B. This app may at times bring back contradictory information on the same patient since patients may start smoking and stop smoking and the level of information available to the clinician may vary.

**Interrater reliability**

N/A

**Search terms**

N/A

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – 50 attachments, 50 events</td>
<td>Smoking mention All documents P=85% R=89% Events only P=97% R=88% Attachments only P=77% R=89%</td>
<td><em>smok</em> <em>cigar</em> <em>tobacco</em></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>All positive hits from above sample</td>
<td>Smoking status Current P=79% R=87% Past</td>
<td><em>smok</em> <em>cigar</em> <em>tobacco</em></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Random sample of 100 - 7 physical health, 18 mental health, 1 drug and alcohol history, 1 assessment-presenting circumstances and 53 event clinical notes</td>
<td>Overall P=92% Status P=97% Subject P=35%</td>
<td>Random sample of 100 - 50 attachments, 50 events</td>
<td>Overall P=81% R=74% <em>smok</em> <em>cigar</em> <em>tobacco</em></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Random sample of 40 for each category – overall, current, never, past</td>
<td>Overall P=83% Current P=90% Never P=73% Past P=55%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Random sample of 90 - 28 attachments, 1 MH care plan, 1 PH care plan, 45</td>
<td>P=86% Patient-level P=95%</td>
<td></td>
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<td></td>
<td>_events, 14 ward progress notes</td>
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<tr>
<td>6</td>
<td>Random sample of 100 with F2* diagnosis – 57 attachments, 43 events</td>
<td>P=81%</td>
<td>Patient-level P=94%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Random sample of 60 with F2* diagnosis and 1 annotation per patient – 26 attachments, 3 MH care plans, 7 PH care plans, 3 ccs correspondence, 2 discharge notification summaries, 19 events</td>
<td>P=55%</td>
<td>Patient-level P=79%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Random sample of 100 with F2* diagnosis and latest annotation per patient – 30 attachments, 1 CAMHS event, 2 ccs correspondence, 2 discharge notification summaries, 19 events</td>
<td>P=60%</td>
<td>Patient-level P=75%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Random sample of 93 documents, 1 annotation per patient for those with 10+ annotations  
P=96%  
Patient- level  
P=96%  
R=62%

Random sample of 118 documents, 1 annotation per patient for those with 5+ annotations  
P=90%  
Patient- level  
P=90%  
R=78%

NOTES
False positives occurred when irrelevant comments were made relating to smoke from a fire, smoke alarm function or fire alarm procedure. False positives also occurred when hypothetical ‘if’ situations were used. Comments were classed as unknown if referring to smoking cannabis (that may contain some tobacco), while smoking heroin (would not contain tobacco) was labelled as a negative mention.

The precision of status was very good, with only three instances of incorrect labelling: labelling as current instead of never (x2) and never instead of current (x1).

The precision of ‘who’ mainly occurred when the app classed a mention as none/NULL instead of patient, suggesting an inability of the app to identify when the note is referring to the patient.

Sometimes the app was able to identify the patient in some instances but not others within the same document. Many of the cases where patient was not identified was relating to patient’s access to the smoking room, talking about smoking cessation services (not yet attended or ineffectiveness of them). However, a few were also direct smoking mentions that were not detected.

When applying the smoking application to a population with F2* diagnoses, the best performance is achieved by using patients with >5 ‘current’ annotations.

Production
- Run schedule – weekly
- Version - 1
INTERVENTIONS

1. COGNITIVE BEHAVIOURAL THERAPY (CBT)

Description
An application to identify instances of delivered sessions of Cognitive Behavioural Therapy (CBT).

Definition

Development approach: Rule-based.

Search Terms

1.1 Inclusions:
A session of CBT is defined as an event (excluding ward progress notes) having “CBT” or “Cognitive Behavioural Therapy” or “Cognitive Therapy” followed by “session”, “assessment” or “follow up” plus the following variations specified below:

1.2 Assessment session:

Other terms that should be included

“CBT Assessment” Assessment
“CBT: A” Assessment
“Assessment and CBT in the same sentence” Assessment
“Initial CBT appointment” Assessment

1.2 Treatment session

Other terms that should be included:

“Attended for CBT”
“LICBT” & “session”
“CBT appointment”
“CBT appt”
“saw ZZZZZ for CBT”
“CBT: Seen”
“CBT: Reviewed”
“Session X of CBT”
“X CBT”
“X> CBT”
“CBT #X”
“CBT #X”
“SX CBT”
“session of CBT”
“continued with CBT”
“CBT psychology session”

“session X of CBT”

“Met with ZZZZZ to continue the CBT work.”

“MIND WORKOUT (CBT GROUP)”

1.3 Follow up

“CBT follow up appointment” “CBT 12-month follow-up”

**Alternative terms for CBT**

“SX HICBT”

“SX LICBT”

**Interrater reliability**

N/A

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td>SQL CRIS Events where Event Type=Face-to-Face, group or phone, attended and formal therapy ID=CBT (derived table)</td>
<td>P=89%</td>
<td>CBT cognitive behavioural therapy</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td>Raw table based on JAPE rules ran over CRIS events (GateDBCRIS.vw_gate_cbt_session_session)</td>
<td>P=85% R=86%</td>
<td>CBT cognitive behavioural therapy</td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
<td>Raw table post-processed to exclude CBT session reference&gt;200 characters from Event start (GateDBCRIS.vw_gate_cbt_session_post_processed)</td>
<td>P=99% R=82%</td>
<td>CBT cognitive behavioural therapy</td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td>Post-processed and Structured Events combined (SQLCRISImprort.vw_gate_cbt_combined)</td>
<td>P=99%</td>
<td>CBT cognitive behavioural therapy</td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
<td>Materialised monthly version using the CBT combined view</td>
<td>P=99%</td>
<td>CBT</td>
</tr>
</tbody>
</table>
圆6

主要原因是应用描述需要直接标为‘cbt’或‘认知行为疗法’。然而，大多数的事件-评论都提到了‘心理咨询’或仅提到了‘会话’，而干预变量则指的‘正式心理治疗’。如果我们将提及会话和心理评估出勤统计为CBT会话，准确率将提高到90%。在某些情况下，摘要文本中提到‘CBT’，而事件-评论没有直接提到CBT，这被计为正向，尽管实际上并没有多少。

圆7

一个FP是由于提到不是清楚的会话，另一个是因为提到的不是实际的CBT会话，而是同时有一个家庭成员的另一个会话。

圆8

所有事件都是CBT会话，有3次CBT评估。

注

由于应用描述中需要直接标记为“cbt”或“认知行为疗法”。然而，大多数事件-评论都提到了“心理咨询”或仅提到了“会话”，而干预变量则指的是“正式心理治疗”。如果我们将提及会话和心理评估出勤统计为CBT会话，准确率将提高到90%。在某些情况下，摘要文本中提到“CBT”，而事件-评论没有直接提到CBT，这被计为正向，尽管实际上并没有多少。

圆7

一个FP是由于提到不是清楚的会话，另一个是因为提到的不是实际的CBT会话，而是同时有一个家庭成员的另一个会话。

圆8

所有事件都是CBT会话，有3次CBT评估。
Production

- Run schedule - weekly
- Version -1
2. FAMILY INTERVENTION

Description
The application identifies instances of family intervention delivery.

Definition

*Development approach:* Rule-based.

The application will produce the following 6 features for each annotation:

- FI Session: Y/N
- Session n: Session number
- Stage: Assessment, first session, last, treatment, follow-up,
- Subject: Both patient and carer/Carer/Patient but patient only relevant FI intervention for Behavioural Family Therapy (BFT). – Note if a single subject + patient then annotate as both (“ZZZZ and carer”) and if more than one other attendee then annotated as family (“ZZZZ, mum and sister”).
- Delivery: Individual Family/Multi Family – note Multi family groups are not generally practiced in the psychosis services but will be in the eating disorders service
- Outcome: Attended, DNA, cancelled

Annotations for each group will be assigned independently of each other, e.g. in theory the same text could produce annotations from each group.

**FI Session**

**Inclusions**

A session of FI is defined as an event having “FI” or equivalent terms (“family intervention”, “FI”, “family therapy”, “family work”, “family workshop”, ”systemic therapy”, “family session”, “FTWS”, “Behavioural Family Therapy”, “BFT”, “BFI”, “FIP”) followed by “session” or equivalent terms (“appt”, “Appointment”, “Ass”, “Assessment”, “Reviewed”, “Seen”) and additional terms specified below.

Exclude “family meeting” and “carer” from NLP app but include in the heading section – exclude at the combined_view stage.

Note - FIP refers to Family Intervention in Psychosis

**Assessment session**

Other terms that should be included

<table>
<thead>
<tr>
<th>Stage</th>
<th>Other terms that should be included</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assessment</td>
<td>“FI Assessment”</td>
</tr>
<tr>
<td>Assessment</td>
<td>“FI: Ax”</td>
</tr>
<tr>
<td>Assessment</td>
<td>“Assessment and FI in the same sentence”</td>
</tr>
</tbody>
</table>

**Treatment session**

Other terms that should be included:
“Attended for FI”
“FI appointment”
“FI appt”
“saw ZZZZZ for FI”
“FI: Seen”
“FI: Reviewed”
“Session X of FI”
“FI”
“X FI”
“Xa FI”
“FI #X”
“FI #X”
“SX FI”
“session of FI”
“continued with FI”
“session X of FI”
“Met with ZZZZZ to continue the FI work.”

Follow up
“FI follow up appointment”
“FI 12-month follow-up”

Exclusions
The following combinations below with FI in the same sentence are considered as exclusions. Note if the above inclusion criteria are met then this would be considered a positive hit independently of below but if only “next session” and FI were present in the same sentence this wouldn’t be annotated as a positive hit: -
“next session -/-” (day/month)
“next session 2nd”
“next session __._.” (day/month/year)
“Next session __._” (day/month)
“next appointment -/-” (day/month)
“next appointment 2nd”
“next appointment __._.” (day/month/year)
“Next appointment __._” (day/month)
“next appt -/-” (day/month)
“next appt 2nd”
“next appt _._._” (day/month/year)
“Next appt _._” (day/month)

**Session n**
Where a FI session has been indicated record the session number where specified. Note include first and last. Think about proximity – usually “Session x” but also examples of 1st session of FI, etc...

Other terms
“Final FI session”
“last FI Session”
“Final session of FI”
“last session of FI”

**Stage**
Assessment terms:
“FI Assessment”
“FI: Ax”
“Assessment” and “FI” in the same sentence
Some services e.g. picup service has mid therapy assessment

**Follow-up terms**
“FI Follow up appointment”
“FI Follow up appt”

**Subject**
Inclusions
Both patient and carer
Carer/
Patient but patient only relevant for Behavioural Family Therapy (BFT) (only in psychosis services)

**Delivery**
Inclusions
Group or individual therapy
**Outcome**

Attended, DNA, cancelled by carer, cancelled by patient, cancelled by staff

**Interrater reliability**

Cohen's k = 88% (50 annotated documents - 25 events/25 attachments)

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 - event – clinical note</td>
<td>P=27%,</td>
<td>Random sample of 100 - event – clinical note</td>
<td>P=77%</td>
<td>FI, family intervention, family therapy, family work*, systemic work, systemic therapy, family session, FTWS, behovioural family therapy, BFI, BFT, FIP</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Session P= 50%</td>
<td></td>
<td>R=87%</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Session number P= 96%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Application excludes instances of '<em>meeting</em>' and '<em>carer</em>'</td>
<td>Random sample of 100 - 17 CAMHS events, 83 event-clinical notes</td>
<td>P=84%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Random sample of 100-100 CAMHS events  
P=93%

Random sample of 100-100 CAMHS events  
P=92%

Random sample of 100-100 CAMHS events  
P=96%

NOTES

Round 2
False positives occurred each time because the mention was not of an actual FI instance. They were comments on talking about referring to FI, or cancelled sessions. Also, mentions were discussions on what FI is without stating whether it was going to be undertaken by the patient/their family. Instances also included waiting for a referral or being on the waiting list without having undergone FI yet. Negatives also included discussion family meetings that were not therapeutic e.g. the logistics of the patients care plan. These also involved denying the idea of family therapy.

Post processing rules added on the most frequent false positives: not including 'cancel', 'cancelled', 'DNA' and 'did not attend'. Recall was not tested with post processing rules and post processing precision was only measured on the annotated document.

Precision on non-annotated documents was much higher, as most of the positives related to actual FI instances rather than discussion of referral/assessment. Both documents were all event clinical notes.

Session number precision was high as only one event note gave the session number. The app produced ‘NULL’ as a response to each case, making it correct in all but that one mention.

Low session precision was mainly due to labelling sessions as ‘n’ rather than ‘y’. Due to unclear classification of positive instances, this is a hard outcome to determine. I measured this as ‘y’ being the actual note commenting on a therapy session, while ‘n’ was the patient/consultant briefly mentioning a previous session that would have been described more in detail in another clinical note.

Rounds 3, 4 and 5
Precision was good for both groups. Only 6 of those excluded (exclusion for testing=1 group) did not reference a ‘family meeting’. Therefore, these were consistently being excluded correctly. Instances where there were FPs were mentions of a family session, family work, family CBT session or ITP session. Precision for the included group (exclusion for testing=0 group) were consistent mentions of family work/family CBT session. False positives mainly related to home visits where FI was not specified, with one stating change to a family therapy appointment.
**Code for post-processing**

`fi_term` not like '%meeting%' and `fi_term` not like '%carer%'

**Production**

- Run schedule - weekly
- Version - 1
OUTCOMES

1. BLOOD PRESSURE (BP)

Description

Application to identify instances of blood pressure scores in the format of overall score, systolic blood pressure score and diastolic blood pressure score.

Definition

*Development approach:* Rule-based.

*Interrater reliability*

N/A

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 events and attachments</td>
<td>Precision</td>
<td></td>
<td>blood pressure bp</td>
<td>bp</td>
</tr>
</tbody>
</table>

Precision

Overall: 98%

Systolic: 98%

Diastolic: 98%

Full score: 98%

Same day precision: 92%

One week: 98%

One month: 98%

R=96%

Production

- Run schedule - weekly
- Version - 1
2. BODY MASS INDEX (BMI)

Description
Application to identify body mass index (BMI) scores.

Definition

*Development approach:* Rule-based.

*Interrater reliability*

N/A

*Search Terms (case insensitive)*

bmi
Bmi
Bml
BMI
BMi
Body Mass Index
Body mass index
body mass index

Units for BMI: Kg/m²

*Inclusions*

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>BMI and number in the same sentence</td>
<td>Bmi 45, bmi:46, Body Mass Index is 22.9, 16 BMI</td>
</tr>
<tr>
<td>BMI, number and units in the same sentence</td>
<td>Bmi 45 kg/m², BMI 47 Kg/m², BMI 22.8 kg/m2</td>
</tr>
</tbody>
</table>

*Exclusions*

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>BMI and number in a sentence that indicates centile</td>
<td>Bmi centile 46, Bmi centile 77, He is on the 34th centile for BMI, BMI above 96th centile</td>
</tr>
<tr>
<td>BMI, number and units in the same sentence, bmi units are indicated wrong in the sentence</td>
<td>Her BMI is 48 kg, BMI: 22 kg, BMI/Weight : 103.2 kg</td>
</tr>
<tr>
<td>There is no score in the sentence, but there is an indication of the word BMI.</td>
<td>Record her weight to find out her BMI, BMI indicated that she was obese, Raised BMI, stable weight and BMI</td>
</tr>
<tr>
<td>BMI indicates as BMI range</td>
<td>BMI between 20.0 and 25.0, BMI within the healthy range 25.0 to 27.0</td>
</tr>
</tbody>
</table>

*Features*
BMI Score named as “BMI_Score” in the app has two features:

**Kind** *(examples in table below): >, <, target, approx., +, over, assumed, aim, achieve, value of kind is blank if Score: Numeric value of BMI*

<table>
<thead>
<tr>
<th>Values of Feature named as kind</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>&gt;</td>
<td>BMI greater than 17.5, BMI &gt;17.5</td>
</tr>
<tr>
<td>&lt;</td>
<td>BMI less than 18, BMI &lt;19</td>
</tr>
<tr>
<td>target</td>
<td>Her target weight is 46 kg and BMI of 17, target BMI 17</td>
</tr>
<tr>
<td>approx</td>
<td>BMI of approx. 70</td>
</tr>
<tr>
<td>+</td>
<td>BMI 35+</td>
</tr>
<tr>
<td>over</td>
<td>BMI of over 28</td>
</tr>
<tr>
<td>assumed</td>
<td>Assumed BMI = 30.02</td>
</tr>
<tr>
<td>aim</td>
<td>Aiming for BMI 19</td>
</tr>
<tr>
<td>achieve</td>
<td>Agreed to achieve a BMI of 16</td>
</tr>
<tr>
<td>Otherwise value of kind is blank</td>
<td>BMI is 19</td>
</tr>
</tbody>
</table>

**Examples**

1) BMI is 24.7 - Due to the space in between, app will only pick up score as 24 instead of 24.7
2) BMI is 48 kg - App will not pick this up.
3) BMI range between 24-25 - App will not consider this as a score
4) BMI is increasing - As there is no BMI score, app will not pick any score.
5) She is 40.66 kg and 153.5 height and is very skinny - As there is no mention of BMI score directly, app will not pick up any BMI score.

**N.B.** App will not pick up BMI if it is written in a table.

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Random sample of 100 – 50</td>
<td>Precision</td>
<td>Score precision: 89% (events: 89%; attachments 88%)</td>
<td><em>bmi</em></td>
<td><em>bmi</em></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td><em>body mass index</em></td>
<td><em>kg/m2</em></td>
</tr>
<tr>
<td>Date precision</td>
<td>attachments 50 events</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>------------------------</td>
<td>-----------------------</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Date precision (automatic 22.47% penalty for FN)</td>
<td>Date precision (automatic 22.47% penalty for FN)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Same day: 66% (events: 70%; attachments: 63%)</td>
<td>Same day: 66% (events: 70%; attachments: 63%)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>One week: 71% (events: 75%; attachments: 67%)</td>
<td>One week: 71% (events: 75%; attachments: 67%)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>One month: 72% (events: 78%; attachments: 67%)</td>
<td>One month: 72% (events: 78%; attachments: 67%)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Three months: 73% (events: 78%; attachments: 69%)</td>
<td>Three months: 73% (events: 78%; attachments: 69%)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>R = 78% (events: 80%; attachments: 76%)</td>
<td>R = 78% (events: 80%; attachments: 76%)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Production**

- Run schedule - weekly
- Version - 1
3. HBA1C

Description

The application will use a structured code to identify instances where HbA1c* and its results are found within CRIS from non-structured fields (i.e. case notes). This will help provide a clearer indication of how HbA1c is being recorded within CRIS.

*HbA1c can be obtained from a routine blood test and refers to glycated haemoglobin. It develops when haemoglobin, a protein within red blood cells that carries oxygen throughout your body joins with glucose in the blood, becoming 'glycated'. By measuring glycated haemoglobin (HbA1c), clinicians are able to get an overall picture of what our average blood sugar levels have been over a period of weeks/months. For people with diabetes, this is important as the higher the HbA1c, the greater the risk of developing diabetes-related complications. Therefore, it is important to ensure that this is being recorded and monitored effectively within SLaM as we know that those with psychosis are at a greater risk of diabetes.

Definition

**Development approach:** Rule-based.

Instances of HbA1c results were identified as follows:

**Inclusion criteria:**
1) HbA1c score is present in format of e.g.
   a. HbA1c was 40, HbA1c 40, HbA1c was 40mmol/mol, HbA1c was 40mmol
   b. HbA1c was 15%
2) Decimals are allowed (e.g. 13.6)
3) HbA1c score relates to the patient only

**Exclusion criteria:**
1) HbA1c is mentioned without score e.g.
   a. HbA1c was measured and found to be within normal range
   b. HbA1c was measured on 11/11/19
   c. HbA1c 10/10/18

**N.B:** The application was not developed with upper or lower score limits. However, during testing anything lower than 3% or 9mmol and anything higher than 24% or 238mmol was excluded.

<table>
<thead>
<tr>
<th>HbA1c</th>
<th>mmol/mol</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Normal</td>
<td>Below 42 mmol/mol</td>
<td>Below 6.0%</td>
</tr>
<tr>
<td>Prediabetes</td>
<td>42 to 47 mmol/mol</td>
<td>6.0% to 6.4%</td>
</tr>
<tr>
<td>Diabetes</td>
<td>48 mmol/mol or over</td>
<td>6.5% or over</td>
</tr>
</tbody>
</table>
Interrater reliability

N/A

Performance

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients, random sample</td>
<td></td>
<td>P=89%</td>
<td>R=93%</td>
<td></td>
</tr>
</tbody>
</table>

Production

- Run schedule – monthly
- Version - 1
4. **WEIGHT LOSS**

**Description**
Application to identify instances of weight loss.

**Definition**


*Classification of past or present symptom:* Both.

*Classes produced:* Positive, Negative and Unknown.

Positive annotations include significant weight loss, pleased with his weight loss.

Negative annotations include no weight loss; denies weight loss.

Unknown annotations include to maintain adequate dietary intake and avoid weight loss, the latter reduced in line with weight loss.

*Interrater reliability*
Cohen’s k = 100% (50 un-annotated documents - 25 events/25 attachments, search term ‘weight* loss’, ‘loss* weight’)

**Search Terms**

Loss [0-2 words in between] *weight*  
Lost [0-2 words in between] *weight*  
Weight* [0-2 words in between] loss  
Weight* [0-2 words in between] lost

**Performance**

<table>
<thead>
<tr>
<th>Post-processing rules added to application</th>
<th>Annotated documents identified by the application</th>
<th>Precision and recall (annotated)</th>
<th>Un-annotated documents extracted from keyword search in CRIS</th>
<th>Precision and recall (un-annotated)</th>
<th>Keywords used for extraction from CRIS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>All patients with primary diagnosis code F32* or F33 in a structured field, random sample of 30 (one document per patient)</td>
<td>P=97%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Random sample of 100 - 100 CAMHS events</td>
<td>P=79%</td>
<td>Random sample of 100 – 50 attachments , 50 events</td>
<td>P=79%, R=92%</td>
<td>weight* loss loss* weight</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>3</td>
<td>Application excludes instances of <strong>no signs of weight loss</strong>  <strong>denied weight loss</strong>  <strong>no weight loss</strong></td>
<td>Random sample of 100 - 6 comments, 4 CCS correspondence attached text, 37 correspondence attached text, 47 event-clinical notes, 3 mental health care plan, 1 risk event, 2 mental state formulation</td>
<td>P=80%</td>
<td>Random sample of 100 – 50 attachments , 50 events</td>
<td>P=90%</td>
</tr>
</tbody>
</table>

**NOTES**

Many of the false positives were unknown mentions, using uncertain terms such as ‘apparently’ and ‘might’ being used. These also included plans to lose weight or being on a diet with no mention of the effects being current weight loss. Negation examples were: hasn’t lost weight, no weight loss, did not believe she had lost weight or mention of weight gain.

**Code for post-processing**

`contextstring not like '%no signs of weight loss%' and contextstring not like '%denied weight loss%' and contextstring not like '%no weight loss%'`

**Production**

- Run schedule – monthly
- Version – 1
1. **ONLINE ACTIVITY**

**Description**
Application to identify and distinguish between mentions of internet/social media/online gaming in patient records across Child and Adolescent Mental Health Services.

**Definition**

*Development approach:* Rule-based.

Classifications: INTERNET, ONLINE_GAMING, SOCIAL_MEDIA.

**Internet**

We are interested in patterns and the nature of internet use and content viewed online. Online platforms such as Pinterest, YouTube or specific websites may be documented. In some cases, there is insufficient detail to establish what online activity is being engaged with *i.e.*, “… spends a lot of time online”. In these cases, and where the mention is clearly related to online activity, it should be annotated as ‘Internet’.

**Social Media**

Social media is defined as *websites and applications that enable users to create and share content or to participate in social networking*. Mentions may refer to specific platforms included in the gazetteer such as: Instagram, Twitter, Facebook, Snapchat, or to a behaviour *i.e.* “Chatting to their friends online”.

**Online Gaming**

We are interested in online gaming and have included general terms and more specific titles of games such as *Call of Duty, Fortnite, Minecraft*. Games consoles *i.e.* Playstation, Xbox and Nintendo DS have also been included in the gazetteer as they increasingly have enhanced online functions. Some online gaming mentions will be less specific and refer to behaviour, for example: “Spends a lot of time playing video games”, “likes playing games on the internet with her friends” but should still be coded.

‘Other’ online use

In view of the fact that social media and internet activity are often accessed via mobile devices we have also included: iPhone, iPad, Blackberry, Smartphone. Where there is suggestion that these are used for online gaming or social media they should be annotated accordingly. If the exact use is not clear they are annotated as INTERNET.

**Interrater reliability**

**Number of matching files:** 149

<table>
<thead>
<tr>
<th>Inter-rater agreement (test)</th>
<th>Spans</th>
<th>Attributes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Precision (macro)</td>
<td>0.9</td>
<td>0.97</td>
</tr>
<tr>
<td>Recall (macro)</td>
<td>0.82</td>
<td>0.95</td>
</tr>
<tr>
<td>F-score (macro)</td>
<td>0.86</td>
<td>0.96</td>
</tr>
<tr>
<td>Precision (micro)</td>
<td>N/A</td>
<td>0.97</td>
</tr>
<tr>
<td>Recall (micro)</td>
<td>N/A</td>
<td>0.97</td>
</tr>
</tbody>
</table>
F-score (micro)  |  N/A  |  0.97  
Kappa  |  N/A  |  0.94  

**Search Terms (case insensitive)**
Gazetteer available on request

**Performance**
A test corpus (n=6172) was randomly divided between two researchers (human inter-rater agreement 0.94) and all relevant mentions of online activity were annotated according to the annotation guidelines.

**Number of documents (annotated and unannotated):** 6,172

**Number of annotations:** 535

<table>
<thead>
<tr>
<th>Evaluation results (test)</th>
<th>Spans</th>
<th>Attributes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Precision (macro)</td>
<td>0.73</td>
<td>0.97</td>
</tr>
<tr>
<td>Recall (macro)</td>
<td>0.76</td>
<td>0.94</td>
</tr>
<tr>
<td>F-score (macro)</td>
<td>0.74</td>
<td>0.95</td>
</tr>
<tr>
<td>Precision (micro)</td>
<td>N/A</td>
<td>0.95</td>
</tr>
<tr>
<td>Recall (micro)</td>
<td>N/A</td>
<td>0.95</td>
</tr>
<tr>
<td>F-score (micro)</td>
<td>N/A</td>
<td>0.95</td>
</tr>
<tr>
<td>Kappa</td>
<td>N/A</td>
<td>0.92</td>
</tr>
</tbody>
</table>

**NOTES:**
Most common false positive is insufficient contextual disambiguation for the following words: computer, Internet, mobile phone, online, PC, website. It performed less well distinguishing class from longer spans of free text i.e.

Gold: 1156 1189 playing games with friends online ...

System: 1183 1189 online

-- attribute disagreement on class: ONLINE_GAMING vs. INTERNET

**MISSING ANNOTATIONS**
-----------------------------
9266 9298 playing games a lot on his phone

**MATCHING ANNOTATIONS**
-----------------------------
Gold: 618 639 games on the computer
System: 631 639 computer
-- attribute disagreement on class: ONLINE_GAMING vs. INTERNET

Mention of all specific websites described in CRIS would not be feasible, but inclusion of www. .... co.uk or other more generic identifiers resulted in too many false positives (i.e. the NHS Trust or affiliated websites contained in letter headers). Similarly, ‘email*’ generated too many false positives during development to be included. These may therefore be false negatives that should be considered when using the NLP application.

Production
2. Run schedule – on request
3. Version – 1